

FIBERS OF CYCLIC COVERING FIBRATIONS OF A RULED SURFACE

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ABSTRACT. We give an algorithm to classify singular fibers of finite cyclic covering fibrations of a ruled surface by using singularity diagrams. As the first application, we classify all fibers of 3-cyclic covering fibrations of genus 4 of a ruled surface and show that the signature of a complex surface with this fibration is non-positive by computing the local signature for any fiber. As the second application, we classify all fibers of hyperelliptic fibrations of genus 3 into 12 types according to the Horikawa index. We also prove that finite cyclic covering fibrations of a ruled surface have no multiple fibers if the degree of the covering is greater than 3.

INTRODUCTION

Let $f: S \rightarrow B$ be a surjective morphism from a complex smooth projective surface S to a smooth projective curve B with connected fibers. The datum (S, f, B) or simply f is called a fibered surface or a fibration. A fibered surface f is said to be *relatively minimal* if there exist no (-1) -curves contained in a fiber of f . The genus g of a fibered surface is defined to be the genus of a general fiber of f .

In the study of fibered surfaces, one of the central problem is the classification of singular fibers. Any relatively minimal fibration of genus 0 is a holomorphic \mathbb{P}^1 -bundle (hence, no singular fibers). As is well known, all fibers of elliptic surfaces were classified by Kodaira in [6]. As to fibrations of genus 2, the complete list of singular fibers was obtained by Namikawa and Ueno in [7]. On the other hand, Horikawa [5] showed that fibers of genus 2 fibrations fall into 6 types (0), (I), ..., (V) according to the numerical invariant attached to singular fiber germs, which is nowadays called the Horikawa index (cf. [2]). When $g = 3$, based on Matsumoto-Montesinos' theory, Ashikaga and Ishizaka [1] accomplished the topological classification with a vast list, which is comparable to Namikawa-Ueno's in genus 2 case.

In [4], we studied primitive cyclic covering fibrations of type (g, h, n) . Roughly speaking, it is a fibered surface of genus g obtained as the relatively minimal model of an n sheeted cyclic branched covering of another fibered surface of genus h . Note that hyperelliptic fibrations are nothing more than such fibrations of type $(g, 0, 2)$. Our main concern in [4] was the slope of such fibrations of type (g, h, n) and we established the lower bound of the slope for them. Furthermore, we succeeded in giving even an upper bound. In this paper, we are interested in singular fibers themselves appearing in primitive cyclic covering fibrations of type $(g, 0, n)$, and give the complete lists of fibers when $(g, n) = (4, 3), (3, 2)$.

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In §1, we recall basic results in [4] as the preliminaries. In §2, in order to extract detailed information from the singular points of the branch locus, we introduce the notion of *singularity diagrams* which is our main tool for studying fibers. Though it enables us to handle all the possible fibers in theory, it is rather tedious in practice to carry it over for large n and g . In §3, we consider the case where $n = 3$ and $g = 4$, and show the following:

Theorem 0.1. *Fibers of primitive cyclic covering fibrations of type $(4, 0, 3)$ fall into 32 classes of types $(0_{i_1, \dots, i_m})$, $(\text{III}_{i,j})$, (IV_k) , $(\text{V}_{i,j})$, (VI_k) , (VII_k) and (VIII) listed in §3 plus 9 classes of types $(\text{I}_{i,j,l})$ and $(\text{II}_{k,l})$ up to (-2) -curves.*

Corollary 0.2. *Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(4, 0, 3)$. Then we have*

$$K_f^2 = \frac{24}{7}\chi_f + \text{Ind}$$

and Ind is given by

$$\begin{aligned} \text{Ind} = & \sum_{l \geq 0} \frac{3}{7}(l+1)\nu(\text{I}_{*,*,l}) + \sum_{l \geq 0} \frac{3}{7}(l+2)\nu(\text{II}_{*,l}) + \frac{3}{7}\nu(\text{III}_{*,*}) + \frac{16}{7}\nu(\text{IV}_*) \\ & + \frac{16}{7}\nu(\text{V}_{*,*}) + \frac{16}{7}\nu(\text{VI}_{*,*}) + \frac{26}{7}\nu(\text{VII}_*) + \frac{33}{7}\nu(\text{VIII}) \end{aligned}$$

where $\nu(*)$ denotes the number of fibers of type $(*)$ and $\nu(\text{I}_{*,*,l}) := \sum_{i,j} \nu(\text{I}_{i,j,l})$, etc.

Recall that Ueno and Xiao showed independently that the signature of a complex surface with a genus 2 fibration is non-positive, answering affirmatively to a conjecture by Persson. If S is a complex surface with a primitive cyclic covering fibration of type $(g, 0, n)$, then, as shown in [4], the signature of S can be expressed as the total sum of the local signature for fibers. We can compute the local signature for each type of fibers in the above theorem, and find that it is negative for any singular fiber. Therefore, we obtain the following:

Corollary 0.3. *The signature of a surface with a primitive cyclic covering fibration of type $(4, 0, 3)$ is not positive.*

In §4, we turn our attention to hyperelliptic fibrations of genus 3 (i.e., the case where $n = 2$ and $g = 3$). We classify all fibers into 12 types $(0), (\text{I}), \dots, (\text{XI})$ according to the Horikawa index and show the following:

Theorem 0.4. *Let $f: S \rightarrow B$ be a relatively minimal hyperelliptic fibration of genus 3. Then*

$$K_f^2 = \frac{8}{3}\chi_f + \text{Ind}$$

and Ind is given by

$$\begin{aligned}
\text{Ind} = & \sum_i \frac{2}{3} i \nu(\text{I}_{i,0,0}) + \sum_{i,k \geq 1} \left(\frac{2}{3} i + \frac{5}{3} k - 1 \right) \nu(\text{I}_{i,0,k}) + \sum_i \left(\frac{2}{3} i + \frac{5}{3} \right) \nu(\text{I}_{i,0,\infty}) \\
& + \sum_{i,j \geq 1, k \geq 1} \left(\frac{2}{3} i + \frac{5}{3} (j+k) - 2 \right) \nu(\text{I}_{i,j,k}) + \sum_{i,j \geq 1} \left(\frac{2}{3} i + \frac{5}{3} j + \frac{2}{3} \right) \nu(\text{I}_{i,j,\infty}) \\
& + \sum_i \left(\frac{2}{3} i + \frac{10}{3} \right) \nu(\text{I}_{i,\infty,\infty}) + \sum_{i,j,k} \left(\frac{2}{3} i + \frac{5}{3} (j+k) \right) \nu(\text{II}_{i,j,k}) \\
& + \sum_{i,j} \left(\frac{2}{3} i + \frac{5}{3} j + \frac{8}{3} \right) \nu(\text{III}_{i,j}) + \sum_{i,j} \left(\frac{2}{3} i + \frac{5}{3} j + \frac{4}{3} \right) \nu(\text{IV}_{i,j}) \\
& + \sum_j \left(\frac{5}{3} j + \frac{4}{3} \right) \nu(\text{V}_j) + \sum_j \left(\frac{5}{3} j + \frac{5}{3} \right) \nu(\text{VI}_j) \\
& + \frac{4}{3} \nu(\text{VII}_0) + \sum_{j \geq 1} \left(\frac{5}{3} j + \frac{1}{3} \right) \nu(\text{VII}_j) + \sum_{j \geq 1} \left(\frac{5}{3} j + \frac{2}{3} \right) \nu(\text{VIII}_j) \\
& + \frac{4}{3} \nu(\text{IX}) + \frac{7}{3} \nu(\text{X}) + \frac{10}{3} \nu(\text{XI}),
\end{aligned}$$

where $\nu(*)$ denotes the number of fibers of type $(*)$.

This is comparable to Horikawa's result [5] in genus 2. We remark that Horikawa himself obtained a similar list, but never published.

Multiple fibers are among interesting singular fibers. It is known that there exists a hyperelliptic fibration f with a double fiber for any odd g (for example, any fiber of type (III) listed in §4 is a double fiber). Moreover, we can construct an example of primitive cyclic covering fibrations of type $(g, 0, 3)$ with a triple fiber for any $g \geq 4$ satisfying $g \equiv 1 \pmod{3}$, $g \neq 7$. However, we have the following assertion which imposes an unexpected limitation for the existence of multiple fibers.

Proposition 0.5. *Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(g, 0, n)$. If $n \leq 3$, then any multiple fiber of f is an n -fold fiber. If $n \geq 4$, then f has no multiple fibers.*

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1. PRELIMINARIES

In this section, we recall and state without proofs basic results obtained in [4] in order to fix notation.

1.1. Definition.

Let Y be a smooth projective surface and R an effective divisor on Y which is divisible by n in the Picard group $\text{Pic}(Y)$, that is, R is linearly equivalent to $n\mathfrak{d}$ for some divisor $\mathfrak{d} \in \text{Pic}(Y)$. Then we can construct a finite n -sheeted covering of Y with branch locus R as follows. Put $\mathcal{A} = \bigoplus_{j=0}^{n-1} \mathcal{O}_Y(-j\mathfrak{d})$ and introduce a graded \mathcal{O}_Y -algebra structure on \mathcal{A} by multiplying the section of $\mathcal{O}_Y(n\mathfrak{d})$ defining R . We call $Z := \text{Spec}_Y(\mathcal{A})$ equipped with the natural surjective morphism $\varphi: Z \rightarrow Y$ a *classical n -cyclic covering* of Y branched over R , according to [3]. Locally, Z is defined by $z^n = r(x, y)$, where $r(x, y)$ denotes the local analytic equation of R . From this, one sees that Z is normal if and only if R is reduced, and Z is smooth if and only if so is R . When Z is smooth, we have

$$(1.1) \quad \varphi^*R = nR_0, \quad K_Z = \varphi^*K_Y + (n-1)R_0, \quad \text{Aut}(Z/Y) \simeq \mathbb{Z}/n\mathbb{Z}$$

where R_0 is the effective divisor (usually called the ramification divisor) on Z defined locally by $z = 0$, and $\text{Aut}(Z/Y)$ is the covering transformation group for φ .

Definition 1.1. A relatively minimal fibration $f: S \rightarrow B$ of genus $g \geq 2$ is called a primitive cyclic covering fibration of type (g, h, n) , if there exist a (not necessarily relatively minimal) fibration $\tilde{\varphi}: \tilde{W} \rightarrow B$ of genus $h \geq 0$, and a classical n -cyclic covering

$$\tilde{\theta}: \tilde{S} = \text{Spec}_{\tilde{W}} \left(\bigoplus_{j=0}^{n-1} \mathcal{O}_{\tilde{W}}(-j\tilde{\mathfrak{d}}) \right) \rightarrow \tilde{W}$$

branched over a smooth curve $\tilde{R} \in |n\tilde{\mathfrak{d}}|$ for some $n \geq 2$ and $\tilde{\mathfrak{d}} \in \text{Pic}(\tilde{W})$ such that f is the relatively minimal model of $\tilde{f} := \tilde{\varphi} \circ \tilde{\theta}$.

In this paper, $f: S \rightarrow B$ denotes a primitive cyclic covering fibration of type $(g, 0, n)$ and we freely use the notation in Definition 1.1. Let \tilde{F} and $\tilde{\Gamma}$ be general fibers of \tilde{f} and $\tilde{\varphi}$, respectively. Then the restriction map $\tilde{\theta}|_{\tilde{F}}: \tilde{F} \rightarrow \tilde{\Gamma}$ is a classical n -cyclic covering branched over $\tilde{R} \cap \tilde{\Gamma}$. Since the genera of \tilde{F} and $\tilde{\Gamma}$ are g and 0 , respectively, the Hurwitz formula gives us

$$(1.2) \quad r := \tilde{R}\tilde{\Gamma} = \frac{2g}{n-1} + 1.$$

Note that r is a multiple of n . Let $\tilde{\sigma}$ be a generator of $\text{Aut}(\tilde{S}/\tilde{W}) \simeq \mathbb{Z}/n\mathbb{Z}$ and $\rho: \tilde{S} \rightarrow S$ the natural birational morphism. By assumption, $\text{Fix}(\tilde{\sigma})$ is a disjoint union of smooth curves and $\tilde{\theta}(\text{Fix}(\tilde{\sigma})) = \tilde{R}$. Let $\varphi: W \rightarrow B$ be a relatively minimal model of $\tilde{\varphi}$ and $\tilde{\psi}: \tilde{W} \rightarrow W$ the natural birational morphism. Since $\tilde{\psi}$ is a succession of blow-ups, we can write $\tilde{\psi} = \psi_1 \circ \cdots \circ \psi_N$, where $\psi_i: W_i \rightarrow W_{i-1}$ denotes the blow-up at $x_i \in W_{i-1}$ ($i = 1, \dots, N$) with $W_0 = W$ and $W_N = \tilde{W}$. We define reduced curves R_i on W_i inductively as $R_{i-1} = (\psi_i)_* R_i$ starting from $R_N = \tilde{R}$ down to $R_0 =: R$. We also put $E_i = \psi_i^{-1}(x_i)$ and $m_i = \text{mult}_{x_i}(R_{i-1})$ for $i = 1, 2, \dots, N$.

Lemma 1.2. *With the above notation, the following hold for any $i = 1, \dots, N$.*

- (1) Either $m_i \in n\mathbb{Z}$ or $m_i \in n\mathbb{Z} + 1$. Moreover, $m_i \in n\mathbb{Z}$ holds if and only if E_i is not contained in R_i .
- (2) $R_i = \psi_i^* R_{i-1} - n \left\lfloor \frac{m_i}{n} \right\rfloor E_i$, where $[t]$ is the greatest integer not exceeding t .
- (3) There exists $\mathfrak{d}_i \in \text{Pic}(W_i)$ such that $\mathfrak{d}_i = \psi_i^* \mathfrak{d}_{i-1}$ and $R_i \sim n\mathfrak{d}_i$, $\mathfrak{d}_N = \tilde{\mathfrak{d}}$.

Let E be a (-1) -curve on a fiber of \tilde{f} . If E is not contained in $\text{Fix}(\tilde{\sigma})$, then one can see easily that $L := \tilde{\theta}(E)$ is a (-1) -curve and $\tilde{\theta}^* L$ is a sum of n disjoint (-1) -curves containing E . Contracting them and L , we may assume that any (-1) -curve on a fiber of \tilde{f} is contained in $\text{Fix}(\tilde{\sigma})$. Then, it follows that $\tilde{\sigma}$ induces an automorphism σ of S over B and ρ is the blow-up of all isolated fixed points of σ (cf. [4]). One sees easily that there is a one-to-one correspondence between $(-k)$ -curves contained in $\text{Fix}(\tilde{\sigma})$ and $(-kn)$ -curves contained in \tilde{R} via $\tilde{\theta}$. Hence, the number of blow-ups in ρ is that of vertical $(-n)$ -curves contained in \tilde{R} . Since $\tilde{\varphi}: \tilde{W} \rightarrow B$ is a ruled surface, a relatively minimal model of it is not unique. By performing elementary transformations, we can choose a standard one:

Lemma 1.3. *There exists a relatively minimal model $\varphi: W \rightarrow B$ of $\tilde{\varphi}$ such that if $n = 2$ and g is even, then*

$$\text{mult}_x(R) \leq \frac{r}{2} = g + 1$$

for all $x \in R$, and otherwise,

$$\text{mult}_x(R_h) \leq \frac{r}{2} = \frac{g}{n-1} + 1$$

for all $x \in R_h$, where R_h denotes the horizontal part of R , that is, the sum of all φ -horizontal components of R .

In the sequel, we will tacitly assume that our relatively minimal model $\varphi: W \rightarrow B$ of $\tilde{\varphi}$ enjoys the property of the lemma.

1.2. Slope equality, singularity indices and local signature.

Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(g, 0, n)$.

Definition 1.4 (Singularity index α). Let k be a positive integer. For $p \in B$, we consider all the singular points (including infinitely near ones) of R on the fiber Γ_p of $\varphi: W \rightarrow B$ over p . We let $\alpha_k(F_p)$ be the number of singular points of multiplicity either kn or $kn + 1$ among them, and call it the k -th singularity index of F_p , the fiber of $f: S \rightarrow B$ over p . Clearly, we have $\alpha_k(F_p) = 0$ except for a finite number of $p \in B$. We put $\alpha_k = \sum_{p \in B} \alpha_k(F_p)$ and call it the k -th singularity index of f .

We also define 0-th singularity index $\alpha_0(F_p)$ as follows. Let D_1 be the sum of all $\tilde{\varphi}$ -vertical $(-n)$ -curves contained in \tilde{R} and put $\tilde{R}_0 = \tilde{R} - D_1$. Then, $\alpha_0(F_p)$ is the ramification index of $\tilde{\varphi}|_{\tilde{R}_0}: \tilde{R}_0 \rightarrow B$ over p , that is, the ramification index of $\tilde{\varphi}|_{(\tilde{R}_0)_h}: (\tilde{R}_0)_h \rightarrow B$ over p minus the sum of the topological Euler number of irreducible components of $(\tilde{R}_0)_v$ over p . Then

$\alpha_0(F_p) = 0$ except for a finite number of $p \in B$, and we have

$$\sum_{p \in B} \alpha_0(F_p) = (K_{\tilde{\varphi}} + \tilde{R}_0)\tilde{R}_0$$

by definition. We put $\alpha_0 = \sum_{p \in B} \alpha_0(F_p)$ and call it the 0-th singularity index of f .

Let $\varepsilon(F_p)$ be the number of (-1) -curves contained in F_p , and put $\varepsilon = \sum_{p \in B} \varepsilon(F_p)$. This is no more than the number of blow-ups appearing in $\rho: \tilde{S} \rightarrow S$.

Let $\mathcal{A}_{g,0,n}$ be the set of all fiber germs of primitive cyclic covering fibrations of type $(g, 0, n)$. Then the singularity indices α_k, ε can be regarded as \mathbb{Z} -valued functions on $\mathcal{A}_{g,0,n}$ naturally. Recall that the following slope equality holds, which is a generalization of the hyperelliptic case (cf. [8]):

Theorem 1.5. *Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(g, 0, n)$. Then*

$$K_f^2 = \frac{24(g-1)(n-1)}{2(2n-1)(g-1) + n(n+1)} \chi_f + \sum_{p \in B} \text{Ind}(F_p),$$

where $\text{Ind}: \mathcal{A}_{g,0,n} \rightarrow \mathbb{Q}_{\geq 0}$ is defined by

$$\text{Ind}(F_p) = n \sum_{k \geq 1} \left(\frac{(n+1)(n-1)(r-nk)k}{(2n-1)r-3n} - 1 \right) \alpha_k(F_p) + \varepsilon(F_p),$$

which is called the Horikawa index of F_p .

Now, we state a topological application of the slope equality. For an oriented compact real 4-dimensional manifold X , the signature $\text{Sign}(X)$ is defined to be the number of positive eigenvalues minus the number of negative eigenvalues of the intersection form on $H^2(X)$. Using the singularity indices, we observe the local concentration of $\text{Sign}(S)$ on a finite number of fiber germs.

Corollary 1.6. *Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(g, 0, n)$. Then,*

$$\text{Sign}(S) = \sum_{p \in B} \sigma(F_p),$$

where $\sigma: \mathcal{A}_{g,0,n} \rightarrow \mathbb{Q}$ is defined by

$$\begin{aligned} \sigma(F_p) &= \frac{-(n-1)(n+1)r}{3n(r-1)} \alpha_0(F_p) + \sum_{k \geq 1} \left(\frac{(n-1)(n+1)(-nk^2 + rk)}{3(r-1)} - n \right) \alpha_k(F_p) \\ &\quad + \frac{1}{3n(r-1)} ((n+2)(2n-1)r - 3n) \varepsilon(F_p), \end{aligned}$$

which is called the local signature of F_p .

2. SINGULARITY DIAGRAMS

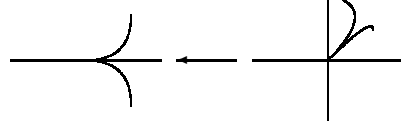
Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(g, 0, n)$ and we freely use the notations in the previous section. Let C stand for a fiber Γ of φ or an exceptional curve E_i of ψ_i for some i . In the latter case, for the time being, we drop the index and set $R = R_i$ for simplicity. Let R' be the closure of $R \setminus C$, that is, $R' = R - C$ when C is contained in R , or $R' = R$ when C is not contained in R . Put $C \cap R' = \{x_1, x_2, \dots, x_l\}$. We consider a local analytic branch D of R' around x_i which has multiplicity $m \geq 2$ at x_i (i.e. D has a cusp x_i). Then we have one of the following:

(i) D is not tangent to C at x_i . If we blow x_i up, then the proper transform of D does not meet that of C . Hence, we have $(DC)_{x_i} = m$, where $(DC)_{x_i}$ denotes the local intersection number of D and C at x_i .

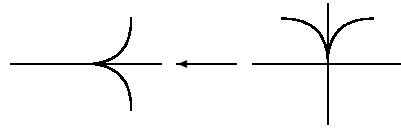


(ii) D is tangent to C at x_i . If we blow x_i up, then one of the following three cases occurs.

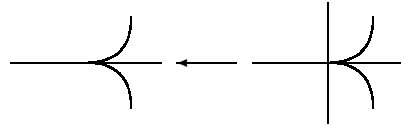
(ii.1) The proper transform of D is tangent to neither that of C nor the exceptional curve.



(ii.2) The proper transform of D is tangent to the exceptional curve. Then, the multiplicity m' of the proper transform of D at the singular point is less than m and we have $(DC)_{x_i} = m + m'$.



(ii.3) The proper transform of D is still tangent to that of C .



We perform blowing-ups at x_i and points infinitely near to it. Then the case (ii.3) may occur repeatedly, but at most a finite number of times. Suppose that the proper transform of D becomes not tangent to that of C just after k -th blow-up. If the proper transform of D is as in (ii.1) after k -th blow-up (or D is as in (i) when $k = 0$), then we have $(DC)_{x_i} = (k+1)m$. If the proper transform of D is as in (ii.2) after k -th blow-up, then we have $(DC)_{x_i} = km + m'$.

In either case, it is convenient to consider as if D consists of m local branches D_1, \dots, D_m smooth at x_i and such that $(D_j C)_{x_i} = k + 1$ for $j = 1, \dots, m$ in the former case and

$$(D_j C)_{x_i} = \begin{cases} k, & \text{for } j = 1, \dots, m - m', \\ k + 1, & \text{for } j = m - m' + 1, \dots, m \end{cases}$$

in the latter case. We call D_j a *virtual local branch* of D .

Notation 2.1. For a positive integer k , we let $s_{i,k}$ be the number of such virtual local branches D_\bullet satisfying $(D_\bullet C)_{x_i} = k$, among those of all local analytic branches of $R - C$ around x_i . Here, when $\text{mult}_{x_i}(D) = 1$, we regard D itself as a virtual local branch. We let i_{\max} be the biggest integer k satisfying $s_{i,k} \neq 0$. By the definition of $s_{i,k}$, we have

$$(R' C)_{x_i} = \sum_{k=1}^{i_{\max}} k s_{i,k}.$$

We put $x_{i,1} = x_i$ and $m_{i,1} = m_i$. If $m_{i,1} > 1$, we define $\psi_{i,1}: W_{i,1} \rightarrow W$ to be the blow-up at $x_{i,1}$ and put $E_{i,1} = \psi_{i,1}^{-1}(x_{i,1})$ and $R_{i,1} = \psi_{i,1}^* R - n[m_{i,1}/n]E_{i,1}$. Inductively, we define $x_{i,j}$, $m_{i,j}$ to be the intersection point of the proper transform of C and $E_{i,j-1}$, the multiplicity of $R_{i,j-1}$ at $x_{i,j}$, and if $m_{i,j} > 1$, we define $\psi_{i,j}: W_{i,j} \rightarrow W_{i,j-1}$, $E_{i,j}$ and $R_{i,j}$ to be the blow-up at $x_{i,j}$, the exceptional curve for $\psi_{i,j}$ and $R_{i,j} = \psi_{i,j}^* R_{i,j-1} - n[m_{i,j}/n]E_{i,j}$, respectively. Let

$$i_{\text{bm}} = \max\{j \mid m_{i,j} > 1\},$$

be the number of blowing-ups occurring over x_i . We may assume that $i_{\text{bm}} \geq (i + 1)_{\text{bm}}$ for $i = 1, \dots, l - 1$ after rearranging the index if necessary.

Then the following two lemmas hold.

Lemma 2.2. *If C is contained in R , then the following hold.*

- (1) *If $n \geq 3$, then $i_{\text{bm}} = i_{\max}$ for all i . If $n = 2$, then $i_{\text{bm}} = i_{\max}$ (resp. $i_{\text{bm}} = i_{\max} + 1$) if and only if $m_{i,i_{\max}} \in 2\mathbb{Z}$ (resp. $m_{i,i_{\max}} \in 2\mathbb{Z} + 1$).*
- (2) *$m_{i,1} = \sum_{k=1}^{i_{\max}} s_{i,k} + 1$ and $m_{i,i_{\text{bm}}} \in n\mathbb{Z}$.*
- (3) *$m_{i,j} \in n\mathbb{Z}$ (resp. $n\mathbb{Z} + 1$) if and only if $m_{i,j+1} = \sum_{k=j+1}^{i_{\max}} s_{i,k} + 1$ (resp. $\sum_{k=j+1}^{i_{\max}} s_{i,k} + 2$).*

Proof. (1) is clear from the definitions of i_{\max} and i_{bm} . Since $m_{i,j}$ is the number of virtual local branches of $R_{i,j-1}$ through $x_{i,j}$ and Lemma 1.2, we have $m_{i,1} = \sum_{k=1}^{i_{\max}} s_{i,k} + 1$ and (3). In (2), “+1” is the contribution of C . If $m_{i,i_{\max}} \in n\mathbb{Z} + 1$, then $x_{i,i_{\max}+1}$ is a double point, which is not allowed when $n \geq 3$ by Lemma 1.2. Thus we have shown (2). \square

Lemma 2.3. *If C is not contained in R , then the following hold.*

- (1) *$i_{\text{bm}} \leq i_{\max}$. If $i_{\text{bm}} < i_{\max}$, then $m_{i,i_{\text{bm}}} \in n\mathbb{Z}$, and $s_{i,k} = 0$ for $i_{\text{bm}} < k < i_{\max}$, and $s_{i,i_{\max}} = 1$.*
- (2) *$m_{i,1} = \sum_{k=1}^{i_{\max}} s_{i,k}$.*
- (3) *$m_{i,j} \in n\mathbb{Z}$ (resp. $n\mathbb{Z} + 1$) if and only if $m_{i,j+1} = \sum_{k=j+1}^{i_{\max}} s_{i,k}$ (resp. $\sum_{k=j+1}^{i_{\max}} s_{i,k} + 1$).*

Proof. By the same argument as in the proof of Lemma 2.2, we have (2), (3). Suppose that $i_{\text{bm}} < i_{\max}$. (1) follows from the definition of i_{bm} and (3). \square

Put $t = R'C$ and $c = \sum_{i=1}^l i_{\text{bm}}$. If C is a fiber Γ of φ , then t is the number of branch points r . If C is an exceptional curve, then t is the multiplicity of R at the point to which C is contracted. Clearly, c is the number of blow-ups occurring over C and $t = \sum_{i=1}^l \sum_{k=1}^{i_{\text{max}}} k s_{i,k}$. When C is contained in R (resp. not contained in R), let c_i be the number of $j = 1, \dots, i_{\text{bm}}$ satisfying $m_{i,j} = \sum_{k=j+1}^{i_{\text{max}}} s_{i,k} + 2$ (resp. $m_{i,j} = \sum_{k=j+1}^{i_{\text{max}}} s_{i,k} + 1$). From Lemmas 2.2 and 2.3, c_i can be regarded as the number of $j = 1, \dots, i_{\text{bm}} - 1$ such that $m_{i,j} \in n\mathbb{Z} + 1$. Set $d_{i,j} = \lfloor m_{i,j}/n \rfloor$.

Proposition 2.4. *If C is contained in R , the following equalities hold:*

$$(2.1) \quad t + c + \sum_{i=1}^l c_i = \sum_{i=1}^l \sum_{j=1}^{i_{\text{bm}}} m_{i,j},$$

$$(2.2) \quad \frac{t + c}{n} = \sum_{i=1}^l \sum_{j=1}^{i_{\text{bm}}} d_{i,j}.$$

Proof. From Lemma 2.2, we get $\sum_{k=1}^{i_{\text{max}}} k s_{i,k} = \sum_{j=1}^{i_{\text{bm}}} m_{i,j} - i_{\text{bm}} - c_i$, which gives us the desired two equalities. \square

Proposition 2.5. *If C is not contained in R , the following equalities hold:*

$$(2.3) \quad t + \sum_{i=1}^l c_i = \sum_{i=1}^l \sum_{j=1}^{i_{\text{bm}}} m_{i,j} + \sum_{i=1}^l (i_{\text{max}} - i_{\text{bm}}),$$

$$(2.4) \quad \frac{t}{n} = \sum_{i=1}^l \sum_{j=1}^{i_{\text{bm}}} d_{i,j} + \frac{1}{n} \sum_{i=1}^l (i_{\text{max}} - i_{\text{bm}} + m_{i,i_{\text{bm}}} - n d_{i,i_{\text{bm}}}).$$

Proof. From Lemma 2.3, we get $\sum_{k=1}^{i_{\text{max}}} k s_{i,k} = \sum_{j=1}^{i_{\text{bm}}} m_{i,j} + i_{\text{max}} - i_{\text{bm}} - c_i$, which gives us the desired two equalities. \square

We collect some properties of $m_{i,j}$.

Lemma 2.6. *The following hold:*

- (1) *If $n \geq 3$, then $m_{i,j} \geq m_{i,j+1}$. If $n = 2$, then $m_{i,j} + 1 \geq m_{i,j+1}$ with equality holding only if $m_{i,j-1}$ is even (when $j > 1$) and $m_{i,j}$ is odd.*
- (2) *If $m_{i,j-1} \in n\mathbb{Z} + 1$ and $m_{i,j} \in n\mathbb{Z}$, then $m_{i,j} > m_{i,j+1}$.*

Proof. If $m_{i,j} < m_{i,j+1}$, then $s_{i,j} = 0$ and $m_{i,j} + 1 = m_{i,j+1}$, since $m_{i,j} - m_{i,j+1} = s_{i,j} - 1, s_{i,j}$, or $s_{i,j} + 1$. Moreover, we have $m_{i,j} \in n\mathbb{Z} + 1$ from Lemmas 2.2 and 2.3, and then $m_{i,j+1} \in n\mathbb{Z} + 2$, which is impossible when $n \geq 3$ from Lemma 1.2. If $n = 2$ and $m_{i,j} + 1 = m_{i,j+1}$, then $m_{i,j-1}$ is even since $s_{i,j} = 0$.

If $m_{i,j-1} \in n\mathbb{Z} + 1$ and $m_{i,j} \in n\mathbb{Z}$, then $m_{i,j} - m_{i,j+1} = s_{i,j} + 1 > 0$ by Lemmas 2.2 and 2.3. Hence (2) follows. \square

In particular, we have non-trivial α_k only when $k \leq \lfloor r/2n \rfloor$ by Lemma 1.3.

Definition 2.7. By using the datum $\{m_{i,j}\}$, one can construct a diagram with entries $(x_{i,j}, m_{i,j})$ as in Table 1. We call it the *singularity diagram* of C .

TABLE 1. singularity diagram

#		#	
$(x_{1,1_{\text{bm}}}, m_{1,1_{\text{bm}}})$		$(x_{l,l_{\text{bm}}}, m_{l,l_{\text{bm}}})$	
\dots	\dots	\dots	\dots
$(x_{1,1}, m_{1,1})$	\dots	$(x_{l,1}, m_{l,1})$	

On the top of the i -th column (indicated by # in Table 1), we write $(i_{\max} - i_{\text{bm}})$ when $i_{\text{bm}} < i_{\max}$ and leave it blank when $i_{\text{bm}} = i_{\max}$. We say that the singularity diagram of C is of *type 0* (resp. of *type 1*) if $C \not\subset R$ (resp. $C \subset R$).

Definition 2.8. Let $\tilde{\psi} = \psi_1 \circ \dots \circ \psi_N : \tilde{W} \rightarrow W$ be a decomposition of the natural birational morphism into a series of blow-ups. We may assume that $\psi_1, \dots, \psi_{N_p}$ are all blow-ups at points over $p \in B$ for simplicity. Let C_1 be the fiber Γ_p of φ over p and C_k the exceptional curve for ψ_{k-1} for $k = 2, \dots, N_p + 1$. Let \mathcal{D}_k be the singularity diagram of C_k . We call $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_{N_p+1}$ a *sequence of singularity diagrams associated with Γ_p* .

Put $t^k := R'C_k$, $l^k := \#(R' \cap C_k)$ and let $(x_{i,j}^k, m_{i,j}^k)$, $i = 1, \dots, l^k$, $j = 1, \dots, i_{\text{bm}}$ denote entries of \mathcal{D}_k . For any $1 \leq k \leq N_p + 1$ and $1 \leq i \leq l^k$ for which $i_{\text{bm}} > 0$, there exists a uniquely determined index k' such that $C_{k'}$ is the exceptional curve for the blow-up at $x_{i,1}^k$. That is, if we put $I := \{(k, i) \mid 1 \leq i \leq N_p + 1, 1 \leq i \leq l^k \text{ and } i_{\text{bm}} > 0\}$ then we get a well-defined map $\Phi : I \rightarrow \{2, \dots, N_p + 1\}$ that sends (k, i) to k' . It is clear that Φ is bijective and $k < k'$. When $\nu = \Phi(\mu, i)$ for some $(\mu, i) \in I$, we say that \mathcal{D}_μ forks to \mathcal{D}_ν and write $\mathcal{D}_\mu \xrightarrow{i} \mathcal{D}_\nu$.

From the definition of sequences of singularity diagrams, we clearly have the following:

Lemma 2.9. *With the above notation, let $\mathcal{D}_\mu \xrightarrow{i} \mathcal{D}_\nu$. Then, $t^\nu = m_{i,1}^\mu$ and \mathcal{D}_ν is of type k if $t^\nu \equiv k \pmod{n}$. Moreover, the following hold.*

(1) *For every $1 \leq \mu' \leq \mu$, i', j' satisfying $(x_{i',j'}^{\mu'}, m_{i',j'}^{\mu'}) = (x_{i,1}^\mu, m_{i,1}^\mu)$, one of the following holds:*

- (a) *If $j' < i'_{\text{bm}}$, then \mathcal{D}_ν has $(x_{i',j'+1}^{\mu'}, m_{i',j'+1}^{\mu'})$ as an entry in the bottom row.*
- (b) *If $j' = i'_{\text{bm}}$ and $\mathcal{D}_{\mu'}$ is of type 1, then \mathcal{D}_ν has (1) as an entry in the bottom row.*
- (c) *If $j' = i'_{\text{bm}}$, $\mathcal{D}_{\mu'}$ is of type 0 and $i'_{\max} - i'_{\text{bm}} \geq 2$, then \mathcal{D}_ν has (1) as an entry in the bottom row.*
- (d) *If $j' = i'_{\text{bm}}$, $\mathcal{D}_{\mu'}$ is of type 0 and $i'_{\max} - i'_{\text{bm}} = 1$, then \mathcal{D}_ν has (s) for some $s \geq 1$ as an entry in the bottom row.*

(2) In (1), distinct $\mathcal{D}_{\mu'}$'s produce distinct bottom entries of \mathcal{D}_{ν} .

(3) In (1), $\mathcal{D}_{\mu'}$ and \mathcal{D}_{ν} have no entries in common except the entry appeared in the case (a).

Definition 2.10. Fix $n \geq 2$ and $t \in n\mathbb{Z}_{>0} \cup (n\mathbb{Z}_{>0} + 1)$. Suppose that we are given the following datum.

(i) Non-negative integers c , l and i_{bm} for $i = 1, \dots, l$ satisfying $l > 0$, $c = \sum_{i=1}^l i_{\text{bm}}$ and $i_{\text{bm}} \geq (i+1)_{\text{bm}}$ for $i = 1, \dots, l-1$.

(ii) A non-empty set \mathcal{S} and c pairs $(x_{i,j}, m_{i,j}) \in \mathcal{S} \times (n\mathbb{Z}_{>0} \cup (n\mathbb{Z}_{>0} + 1))$ for $i = 1, \dots, l$, $j = 1, \dots, i_{\text{bm}}$ such that $x_{i,j} \neq x_{i',j'}$ if $(i,j) \neq (i',j')$, and $\{m_{i,j}\}$ satisfies Lemma 2.6. Moreover, one of the following holds.

(ii,0) There are integers i_{max} satisfying $i_{\text{max}} \geq i_{\text{bm}}$ for $i = 1, \dots, l$ such that i_{max} and $d_{i,j} := \left\lfloor \frac{m_{i,j}}{n} \right\rfloor$ satisfy (2.4).

(ii,1) $m_{i,i_{\text{bm}}} \in n\mathbb{Z}$ and (2.2) holds.

Then we can construct a diagram \mathcal{D} as in Table 1. We call it an *abstract singularity diagram* for (n, t) . For $k = 0, 1$, the diagram \mathcal{D} is said to be of *type k* when (ii,k) holds.

Definition 2.11. A sequence $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_N$ of abstract singularity diagrams is said to be *admissible* if there exists a bijection $\Phi: I \rightarrow \{2, \dots, N\}$ such that μ and $\nu := \Phi(\mu, i)$ satisfy $\mu < \Phi(\mu, i)$ and (1), (2), (3) of Lemma 2.9 for any $(\mu, i) \in I$, where $I := \{(\mu, i) | 1 \leq \mu \leq N, 1 \leq i \leq l^\mu \text{ and } i_{\text{bm}} > 0\}$.

Definition 2.12. Two abstract singularity diagrams \mathcal{D} and \mathcal{D}' are *equivalent* if \mathcal{D} and \mathcal{D}' are the same up to elements $x_{i,j} \in \mathcal{S}$ and a replacement of columns with the same height. Two admissible sequences $\mathcal{D}_1, \dots, \mathcal{D}_N$ and $\mathcal{D}'_1, \dots, \mathcal{D}'_N$ of abstract singularity diagrams are *equivalent*, if there exists a bijection $\Psi: \{1, \dots, N\} \rightarrow \{1, \dots, N\}$ with $\Psi(1) = 1$ such that \mathcal{D}_k is equivalent to $\mathcal{D}_{\Psi(k)}$ for any $1 \leq k \leq N$ and $\mathcal{D}_\mu \xrightarrow{i} \mathcal{D}_\nu$ if and only if $\mathcal{D}_{\Psi(\mu)} \xrightarrow{i} \mathcal{D}_{\Psi(\nu)}$ for any $\mu < \nu$ and $1 \leq i \leq l^\mu$ (after a suitable replacement of columns of \mathcal{D}_μ with the same height).

It is clear that any singularity diagram is an abstract singularity diagram, and any sequence $\mathcal{D}_1, \dots, \mathcal{D}_N$ of singularity diagrams associated with Γ_p is an admissible sequence of abstract singularity diagrams with $t^1 = r$. We are able to classify all fibers of primitive cyclic covering fibrations of type $(g, 0, n)$ by classifying equivalent classes of admissible sequences of abstract singularity diagrams with $t^1 = r$. Indeed, any fiber F_p of a primitive cyclic covering fibration f of type $(g, 0, n)$ can be reconstructed by a sequence of singularity diagrams associated with Γ_p via the n -cyclic covering $\tilde{\theta}: \tilde{S} \rightarrow \tilde{W}$.


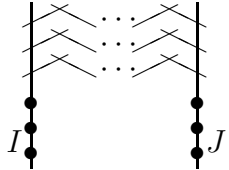
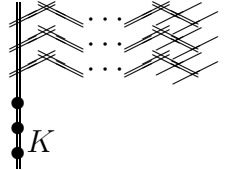
3. FIBERS OF PRIMITIVE CYCLIC COVERING FIBRATIONS OF TYPE $(4, 0, 3)$

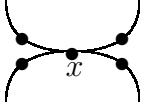
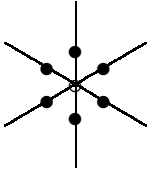
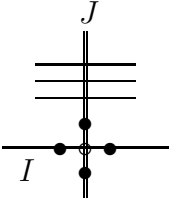
In this section, we prove the following theorem:

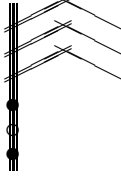
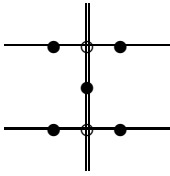

Theorem 3.1. *All fibers of primitive cyclic covering fibrations of type $(4, 0, 3)$ are classified into 32 classes of types $(0_{i_1, \dots, i_m})$, $(\text{III}_{i,j})$, (IV_k) , $(\text{V}_{i,j})$, (VI_k) , (VII_k) and (VIII) plus 9 classes*

of types $(\text{I}_{i,j,l})$ and $(\text{II}_{k,l})$ up to (-2) -curves, as listed in the following tables. Moreover, the local signature of any singular fiber is negative.

To explain the meaning of indices attached to (0) , (I) , \dots , (VIII) and the geometric features of the corresponding fibers, it would be convenient to have a list of some numerical datum for each type of singular fibers.

	$(0_{i_1, \dots, i_m})$	$(\text{I}_{i,j,l})$	$(\text{II}_{k,l})$
The shape of F_p ($k = 0$)			
$\alpha_0(F_p)$	k ($k = 0, \dots, 5$)	k ($k = 0, \dots, 4$)	$3 + k$ ($k = 0, 1, 2$)
$\alpha_1(F_p)$	0	$l + 1$ ($l \geq 0$)	$l + 2$ ($l \geq 0$)
$\varepsilon(F_p)$	0	0	0
$\text{Ind}(F_p)$	0	$\frac{3}{7}(l + 1)$	$\frac{3}{7}(l + 2)$
$\sigma(F_p)$	$-\frac{16}{15}k$	$-\frac{16}{15}k - \frac{7}{5}l - \frac{7}{5}$	$-\frac{16}{15}k - \frac{7}{5}l - 6$

	$(\text{III}_{i,j})$	(IV_k)	$(\text{V}_{i,j})$
The shape of F_p ($k = 0$)			
$\alpha_0(F_p)$	$1 + k$ ($k = 0, 1, 2, 3$)	k ($k = 0, 1, 2, 3$)	$2 + k$ ($k = 0, 1, 2$)
$\alpha_1(F_p)$	1	3	3
$\varepsilon(F_p)$	0	1	1
$\text{Ind}(F_p)$	$\frac{3}{7}$	$\frac{16}{7}$	$\frac{16}{7}$
$\sigma(F_p)$	$-\frac{16}{15}k - \frac{37}{15}$	$-\frac{16}{15}k - \frac{16}{15}$	$-\frac{16}{15}k - \frac{16}{5}$

	(VI _k)	(VII _k)	(VIII)
The shape of F_p ($k = 0$)			
$\alpha_0(F_p)$	$4 + k$ ($k = 0, 1$)	$1 + k$ ($k = 0, 1, 2$)	4
$\alpha_1(F_p)$	3	4	4
$\varepsilon(F_p)$	1	2	3
$\text{Ind}(F_p)$	$\frac{16}{7}$	$\frac{26}{7}$	$\frac{33}{7}$
$\sigma(F_p)$	$-\frac{16}{15}k - \frac{16}{3}$	$-\frac{16}{15}k - \frac{2}{5}$	$-\frac{7}{15}$

In the above tables, the symbol \bullet stands for a point on a 1-dimensional fixed component of σ , while the symbol \circ stands for an isolated fixed point of σ . Note that the fibers given in the tables are the most typical (generic) ones and we will obtain the whole list by degenerating them, that is, some of \bullet 's can overlap one another to give different topological types of fibers. A fiber of type (0) is a non-singular curve of genus 4 in the generic case and, it will have a cusp when two \bullet 's overlap, etc. A component with three \bullet or \circ in total is an elliptic curve when the three are distinct, or a rational curve with one smooth point (\bullet or \circ) and one cusp \bullet when two of \bullet overlap, or three smooth rational curves intersecting in one point \bullet when three of \bullet overlap. A component with no fixed point is a smooth rational curve. The indices i, j , etc. are determined as follows: For $(\text{I}_{i,j,l})$ and $(\text{V}_{i,j})$, the index i (resp. j) counts the number of \bullet lost by overlapping on the curve I (resp. J), $0 \leq i, j \leq 2$. For $(\text{III}_{i,j})$, the index i counts the number of \bullet lost by overlapping with \bullet at x and the index j counts the number of \bullet lost by overlapping not with \bullet at x , leaving the original \bullet at x untouched. We remark that such overlappings at x can occur only on one of two components meeting at x . Hence we have $(i, j) = (0, 0), (0, 1), (0, 2), (1, 0), (1, 1), (2, 0)$ and $(2, 1)$ when $(\text{III}_{i,j})$. For $(0_{i_1, \dots, i_m})$, the indices i_j are positive integers with $\sum_{j=1}^m i_j = 6$ and $i_1 \geq i_2 \geq \dots \geq i_m$; when $i_j > 1$, one can understand that $i_j - 1$ \bullet 's out of $6 - m$ overlap with the j -th \bullet . There are 11 such sequences $\{i_j\}_{j=1}^m$. The integer k is the total number of \bullet lost by overlapping, and then $k = \sum_{j=1}^m (i_j - 1)$ for $(0_{i_1, \dots, i_m})$, $k = i + j$ for $(\text{I}_{i,j,l})$, $(\text{III}_{i,j})$ or $(\text{V}_{i,j})$. The index l counts the number of (-2) -curves in one chain connecting the curve I with J for $(\text{I}_{i,j,l})$, or the number of 2-fold (-2) -curves in one chain intersecting with the curve K for $(\text{II}_{k,l})$. The number of topologically different fibers of types $(0_{i_1, \dots, i_m})$, $(\text{III}_{i,j})$, (IV_k) , $(\text{V}_{i,j})$, (VI_k) , (VII_k) and (VIII) is 11, 7, 4, 4, 2, 3 and 1, respectively. The number of topologically different fibers of types $(\text{I}_{i,j,l})$ and $(\text{II}_{k,l})$ up to the number l is 6 and 3, respectively.

In order to classify fibers of primitive cyclic covering fibrations of genus 4 of type $(4, 0, 3)$, it is sufficient to classify admissible sequences $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_N$ of abstract singularity diagrams

with $n = 3$, $t^1 = r = 6$ and $m_{i,1}^1 \leq 3 + k$ if \mathcal{D}_1 is of type k from Lemma 1.3. We proceed with the following steps.

- (i) Classify abstract singularity diagrams of type k for $(3, 6)$ with $m_{i,1} \leq 3 + k$ for $k = 0, 1$.
 - (ii) Classify admissible sequences of abstract singularity diagrams with $n = 3$, $t^1 = 3 + k$ and \mathcal{D}_1 is of type k for $k = 0, 1$.
 - (iii) Classify admissible sequences of abstract singularity diagrams with $n = 3$, $t^1 = r = 6$ and $m_{i,1}^1 \leq 3 + k$ if \mathcal{D}_1 is of type k for $k = 0, 1$.
- (i) All abstract singularity diagrams of type 0 for $(3, 6)$ with $m_{i,1} \leq 3$ are as follows.
- $c = 0$

$$(6) \quad (5, 1) \quad (4, 2) \quad (4, 1, 1) \quad \cdots \quad (2, 1, 1, 1, 1) \quad (1, 1, 1, 1, 1, 1)$$

- $c = 1$

$$\begin{array}{cccc} \boxed{3} (3) & \boxed{3} (2, 1) & \boxed{3} (1, 1, 1) & \overset{(1)}{\boxed{3}} (2) \\ & \overset{(1)}{\boxed{3}} (1, 1) & \overset{(2)}{\boxed{3}} (1) & \overset{(3)}{\boxed{3}} \end{array}$$

- $c = 2$

$$\boxed{3 \mid 3} \quad \begin{array}{|c|} \hline 3 \\ \hline 3 \\ \hline \end{array}$$

All abstract singularity diagrams of type 1 for $(3, 6)$ with $m_{i,1} \leq 4$ are as follows.

$$\boxed{3 \mid 3 \mid 3} \quad \begin{array}{|c|} \hline 3 \\ \hline 3 \mid 3 \\ \hline \end{array} \quad \begin{array}{|c|} \hline 3 \\ \hline 4 \mid 3 \\ \hline \end{array} \quad \begin{array}{|c|} \hline 3 \\ \hline 3 \\ \hline 3 \\ \hline \end{array} \quad \begin{array}{|c|} \hline 3 \\ \hline 4 \\ \hline 4 \\ \hline \end{array}$$

- (ii) All admissible sequences of abstract singularity diagrams with $n = 3$, $t^1 = 3$ and \mathcal{D}_1 being of type 0 are as follows.

$$\begin{array}{ccccccc} \boxed{(x_1, 3)} & \boxed{(x_2, 3)} & \cdots & \boxed{(x_k, 3)} & (1, 1, 1) \text{ or } (2, 1) \text{ or } (3) \\ \mathcal{D}^0 & \mathcal{D}_{x_1}^0 & & \mathcal{D}_{x_{k-1}}^0 & \mathcal{D}_{x_k}^0 \end{array}$$

Here and in the sequel, \mathcal{D}_*^k means the diagram \mathcal{D}_* is of type k .

All admissible sequences of abstract singularity diagrams with $n = 3$, $t^1 = 4$ and \mathcal{D}_1 being of type 1 are the following 3 classes.

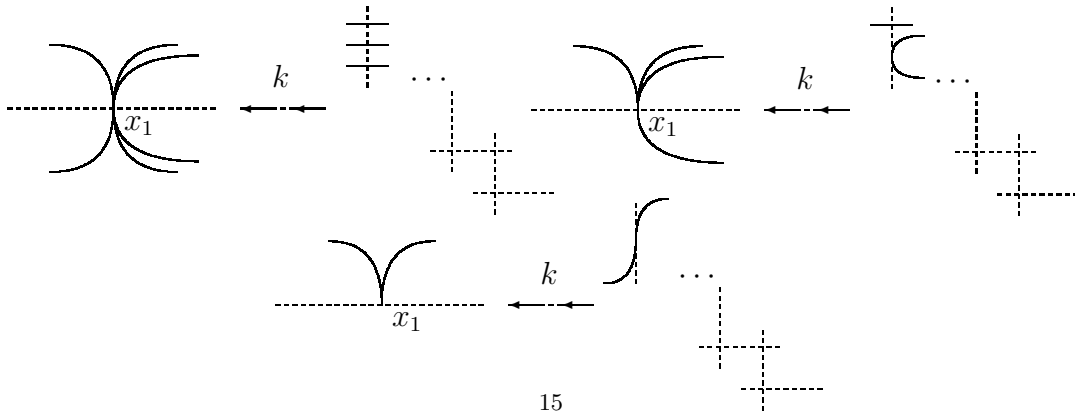
$$\begin{array}{ccc} \boxed{(x, 3) \mid (y, 3)} & (1, 1, 1) \text{ or } (2, 1) & (1, 1, 1) \text{ or } (2, 1) \\ \mathcal{D}^1 & \mathcal{D}_x^0 & \mathcal{D}_y^0 \end{array}$$

$$\begin{array}{ccc} \boxed{\begin{array}{c} (y, 3) \\ (x, 3) \end{array}} & \boxed{(y, 3)} & (1, 1, 1) \text{ or } (2, 1) \\ \mathcal{D}^1 & \mathcal{D}_x^0 & \mathcal{D}_y^0 \end{array}$$

$$\begin{array}{ccc} \boxed{\begin{array}{c} (y, 3) \\ (x, 4) \end{array}} & \boxed{(y, 3) \mid (z, 3)} & (1, 1, 1) \quad (1, 1, 1) \text{ or } (2, 1) \\ \mathcal{D}^1 & \mathcal{D}_x^1 & \mathcal{D}_y^0 \quad \mathcal{D}_z^0 \end{array}$$

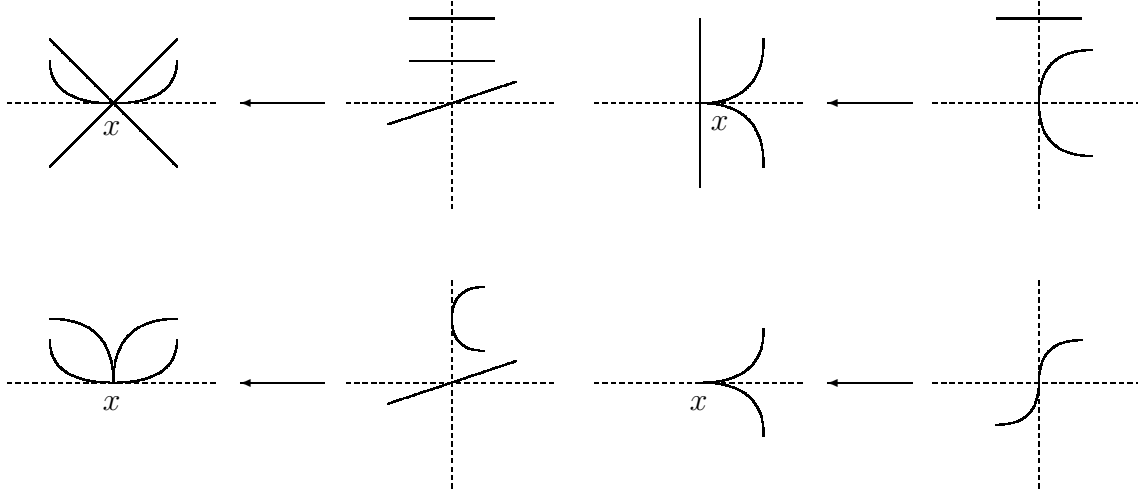
(iii) In order to classify admissible sequences of abstract singularity diagrams with $n = 3$, $t^1 = r = 6$ and $m_{i,1}^1 \leq 3 + k$ if \mathcal{D}_1 is of type k , we may consider admissible sequences to be continued from each column of diagrams classified in (i). Using the classification in (ii), we can classify them as follows.

$$(1) \quad \boxed{(x_1, 3)} \quad \boxed{(x_2, 3)} \quad \dots \quad \boxed{(x_k, 3)} \quad (1, 1, 1) \text{ or } (2, 1) \text{ or } (3) \\ \mathcal{D}^0 \quad \mathcal{D}_{x_1}^0 \quad \mathcal{D}_{x_{k-1}}^0 \quad \mathcal{D}_{x_k}^0$$



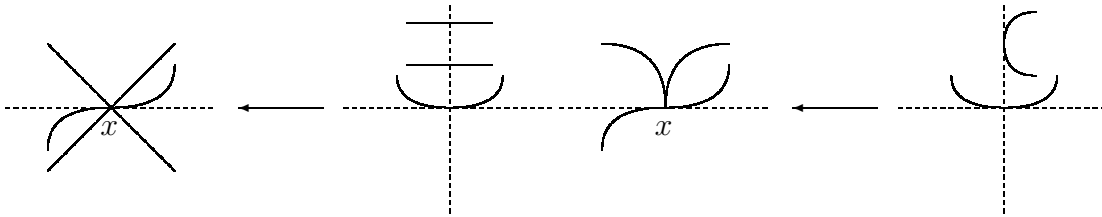
$$(2) \quad \boxed{\begin{smallmatrix} (1^*) \\ (x, 3) \end{smallmatrix}} \\ \mathcal{D}^0$$

$$(1^*, 1, 1) \text{ or } (2^*, 1) \text{ or } (2, 1^*) \text{ or } (3^*) \\ \mathcal{D}_x^0$$



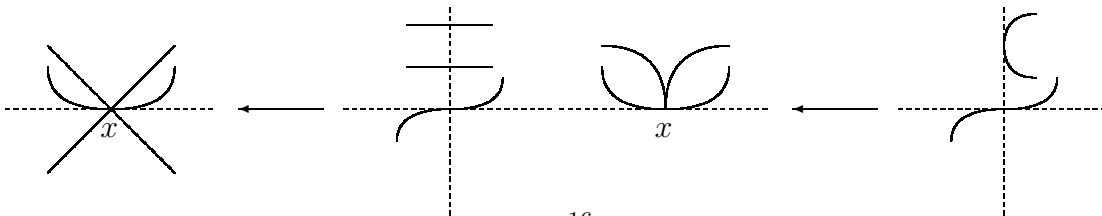
$$(3) \quad \boxed{\begin{smallmatrix} (2) \\ (x, 3) \end{smallmatrix}} \\ \mathcal{D}^0$$

$$(1, 1, 1) \text{ or } (2, 1) \\ \mathcal{D}_x^0$$

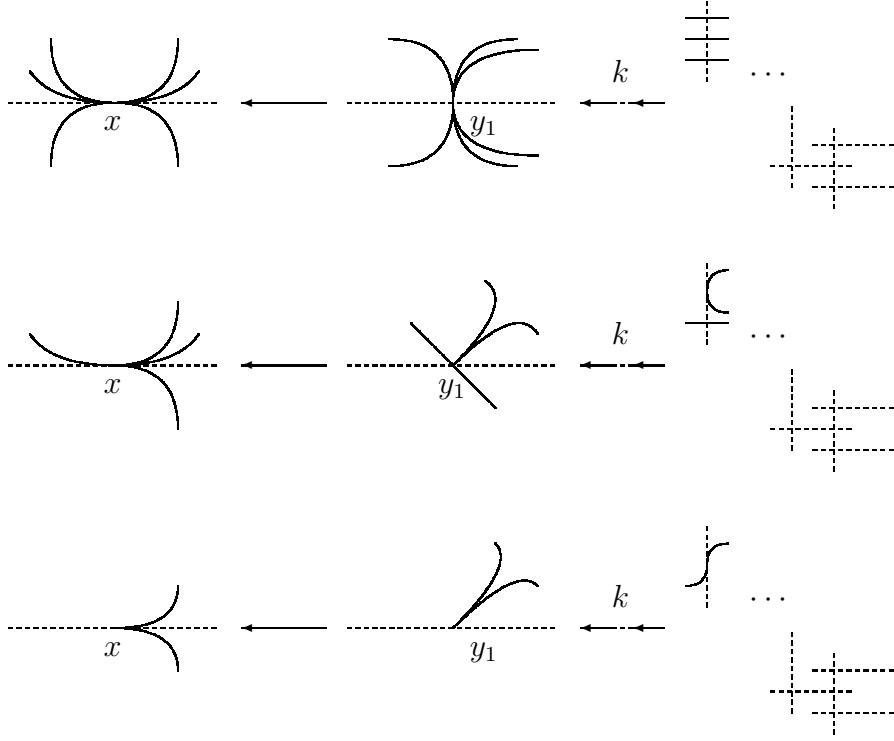


$$(4) \quad \boxed{\begin{smallmatrix} (3) \\ (x, 3) \end{smallmatrix}} \\ \mathcal{D}^0$$

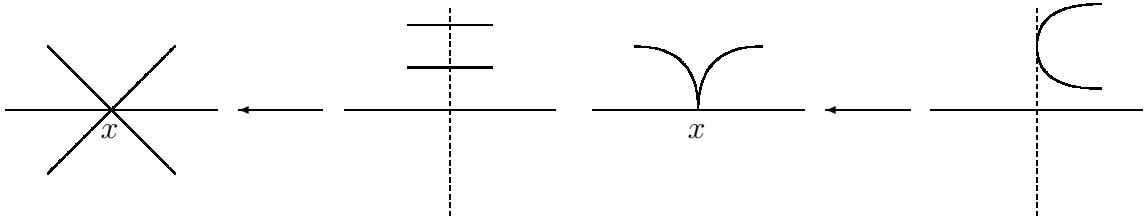
$$(1, 1, 1) \text{ or } (2, 1) \\ \mathcal{D}_x^0$$



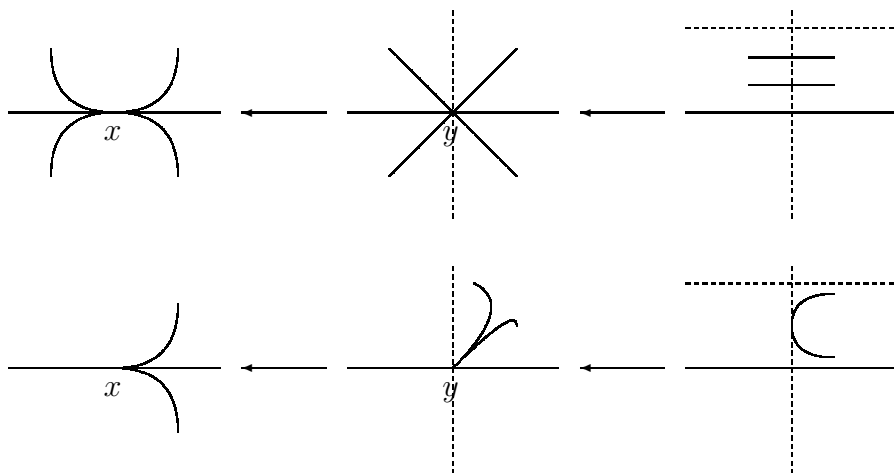
$$(5) \quad \frac{\boxed{(y_1, 3)}}{\boxed{(x, 3)}}_{\mathcal{D}^0} \quad \boxed{(y_1, 3)}_{\mathcal{D}_x^0} \quad \dots \quad \boxed{(y_k, 3)}_{\mathcal{D}_{y_{k-1}}^0} \quad (1, 1, 1) \text{ or } (2, 1) \text{ or } (3) \quad \mathcal{D}_{y_k}^0$$



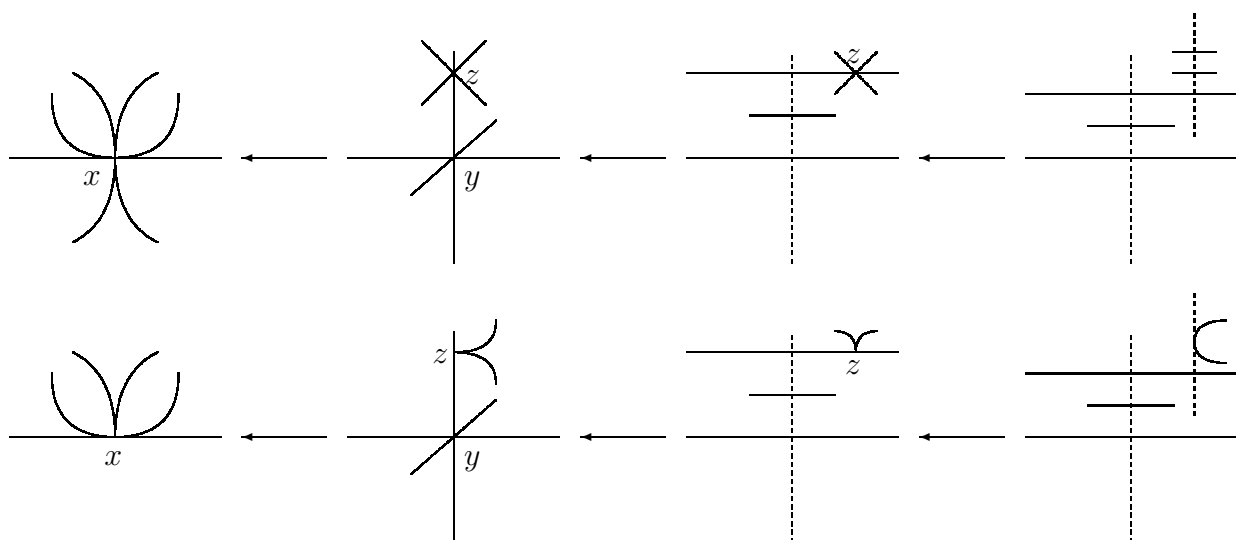
$$(6) \quad \boxed{(x, 3)}_{\mathcal{D}^1} \quad (1, 1, 1) \text{ or } (2, 1) \quad \mathcal{D}_x^0$$



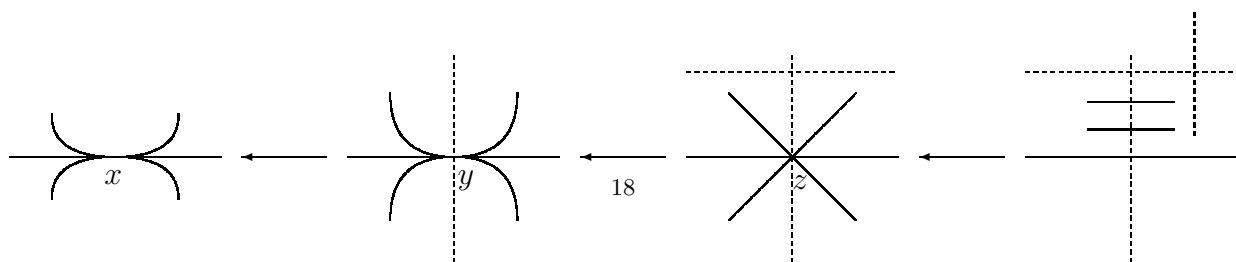
$$(7) \quad \frac{\boxed{(y, 3)}}{\boxed{(x, 3)}}_{\mathcal{D}^1} \quad \boxed{(y, 3)}_{\mathcal{D}_x^0} \quad (1, 1, 1) \text{ or } (2, 1) \quad \mathcal{D}_y^0$$

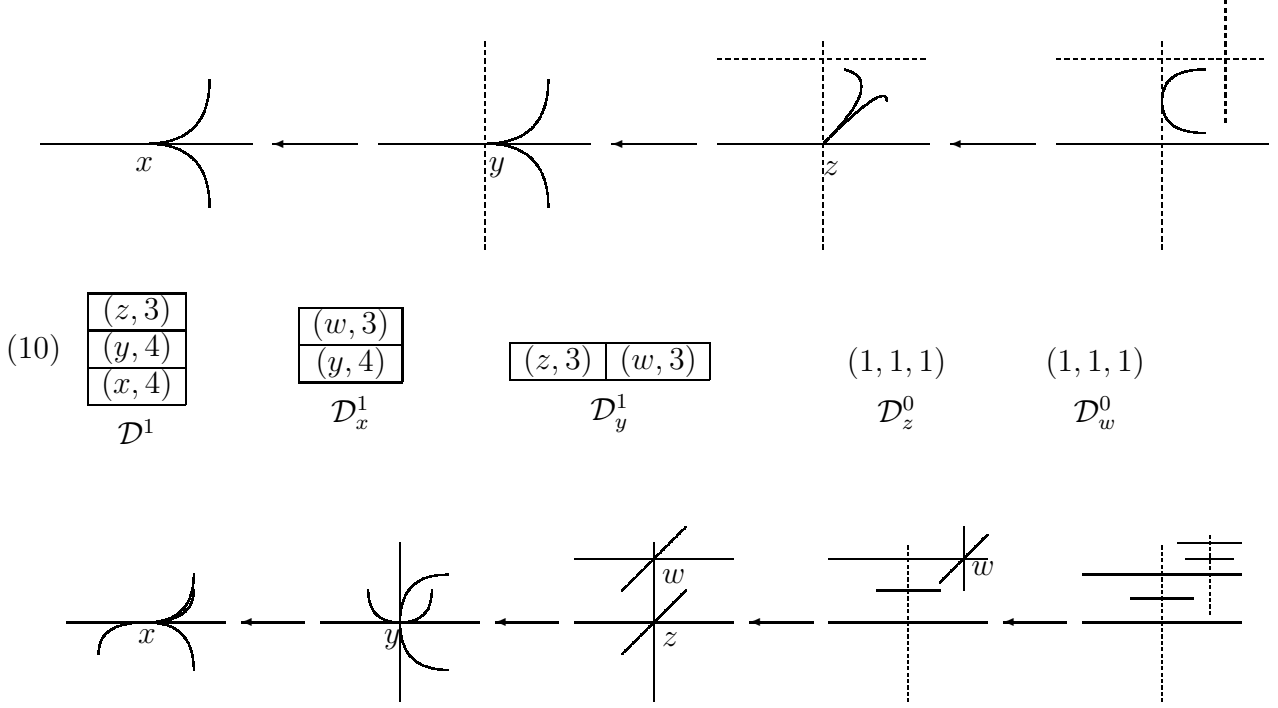


(8) $\begin{array}{|c|} \hline (y, 3) \\ \hline (x, 4) \\ \hline \end{array}$ \mathcal{D}^1 $\begin{array}{|c|c|} \hline (y, 3) & (z, 3) \\ \hline \end{array}$ \mathcal{D}_x^1 $(1, 1, 1)$ \mathcal{D}_y^0 $(1, 1, 1)$ or $(2, 1)$ \mathcal{D}_z^0



(9) $\begin{array}{|c|} \hline (z, 3) \\ \hline (y, 3) \\ \hline (x, 3) \\ \hline \end{array}$ \mathcal{D}^1 $\begin{array}{|c|} \hline (y, 3) \\ \hline \end{array}$ \mathcal{D}_x^0 $\begin{array}{|c|} \hline (z, 3) \\ \hline \end{array}$ \mathcal{D}_y^0 $(1, 1, 1)$ or $(2, 1)$ \mathcal{D}_z^0



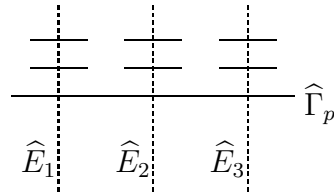


For example, we consider the following sequence of singularity diagrams associated with Γ_p

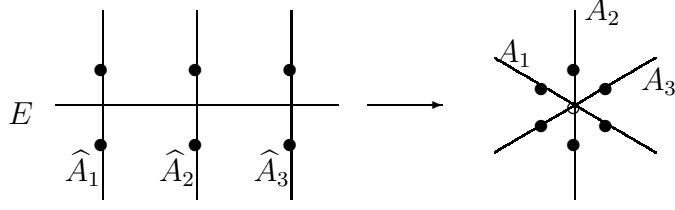
$$\begin{array}{|c|c|c|} \hline (x, 3) & (y, 3) & (z, 3) \\ \hline \end{array} \quad \begin{array}{c} (1, 1, 1) \\ E_x^0 \end{array} \quad \begin{array}{c} (1, 1, 1) \\ E_y^0 \end{array} \quad \begin{array}{c} (1, 1, 1) \\ E_z^0 \end{array}$$

Γ_p^1

After blowing-ups at x, y, z , the branch locus \tilde{R} near the fiber $\tilde{\Gamma}_p$ of $\tilde{\varphi}$ over p is as follows.



where \hat{E}_k denotes the proper transform of E_k and $\tilde{\Gamma}_p = \hat{\Gamma}_p + \hat{E}_1 + \hat{E}_2 + \hat{E}_3$. Taking 3-cyclic covering and contracting (-1) -curve in a fiber of \tilde{f} , the fibers \tilde{F}_p, F_p of \tilde{f}, f over p are as follows.



where $\widehat{A}_k = \widetilde{\theta}^* \widehat{E}_k$, $3E = \widetilde{\theta}^* \widehat{\Gamma}_p$, $A_k = \rho(\widehat{A}_k)$, $\widetilde{F}_p = 3E + \widehat{A}_1 + \widehat{A}_2 + \widehat{A}_3$, $F_p = A_1 + A_2 + A_3$, the symbol \bullet and \circ respectively denote a point on a 1-dimensional fixed component of the automorphisms σ , $\widetilde{\sigma}$ of f , \widetilde{f} and an isolated fixed point of σ . Similarly, we can determine the shape of F_p from another admissible sequence of abstract singularity diagrams. Thus, we can classify all fibers of primitive cyclic covering fibrations of type $(4, 0, 3)$ as follows:

	$\Gamma_p \subset R$		
The diagram of Γ_p	$\begin{array}{ c c c } \hline 3 & 3 & 3 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 3 & \\ \hline 3 & 3 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 3 & \\ \hline 4 & 3 \\ \hline \end{array}$
The type of F_p	(IV_k)	$(\text{V}_{i,j})$	(VII_k)

	$\Gamma_p \subset R$		$\Gamma_p \not\subset R \ \& \ c = 0$
The diagram of Γ_p	$\begin{array}{ c } \hline 3 \\ \hline 3 \\ \hline 3 \\ \hline \end{array}$	$\begin{array}{ c } \hline 3 \\ \hline 4 \\ \hline 4 \\ \hline \end{array}$	(i_1, \dots, i_m) etc.
The type of F_p	(VI_k)	(VIII)	$(0_{i_1, \dots, i_m})$

	$\Gamma_p \not\subset R \ \& \ c = 1$		
The diagram of Γ_p	$\begin{array}{ c } \hline 3 \\ \hline \end{array} (1, 1, 1)$ etc.	$\begin{array}{ c } \hline (1) \\ \hline 3 \\ \hline \end{array} (1, 1)$ etc.	$\begin{array}{ c } \hline (2) \\ \hline 3 \\ \hline \end{array} (1)$
The type of F_p	$(\text{I}_{i,j,l})$	$(\text{III}_{i,j})$	$(\text{III}_{1,j})$

	$\Gamma_p \not\subset R \text{ \& } c = 1$	$\Gamma_p \not\subset R \text{ \& } c = 2$	
The diagram of Γ_p	$\begin{array}{c} (3) \\ \boxed{3} \end{array}$	$\boxed{3 \ 3}$	$\begin{array}{ c } \hline 3 \\ \hline 3 \\ \hline \end{array}$
The type of F_p	$(\text{III}_{2,j})$	$(\text{I}_{i,j,l})$	$(\text{II}_{k,l})$

By computing $\alpha_k(F_p)$, $\varepsilon(F_p)$, $\text{Ind}(F_p)$ and $\sigma(F_p)$, we get the list in Theorem 3.1.

Corollary 3.2. *Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(4, 0, 3)$. Then we have*

$$K_f^2 = \frac{24}{7}\chi_f + \text{Ind}$$

and Ind is given by

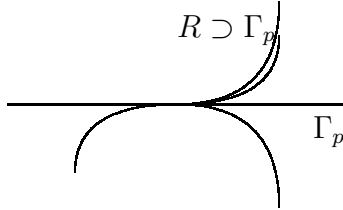
$$\begin{aligned} \text{Ind} = & \sum_{l \geq 0} \frac{3}{7}(l+1)\nu(\text{I}_{*,*,l}) + \sum_{l \geq 0} \frac{3}{7}(l+2)\nu(\text{II}_{*,l}) + \frac{3}{7}\nu(\text{III}_{*,*}) + \frac{16}{7}\nu(\text{IV}_*) \\ & + \frac{16}{7}\nu(\text{V}_{*,*}) + \frac{16}{7}\nu(\text{VI}_{*,*}) + \frac{26}{7}\nu(\text{VII}_*) + \frac{33}{7}\nu(\text{VIII}) \end{aligned}$$

where $\nu(*)$ denotes the number of fibers of type $(*)$ and $\nu(\text{I}_{*,*,l}) := \sum_{i,j} \nu(\text{I}_{i,j,l})$, etc.

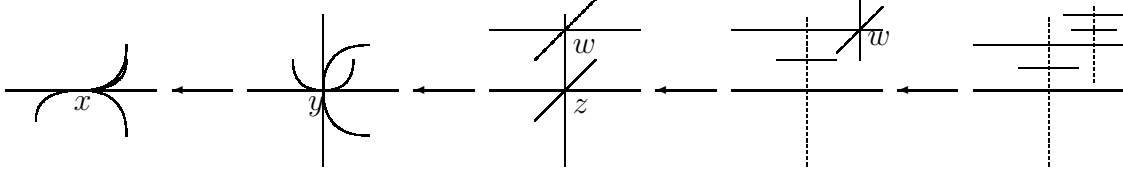
Since we see that $\sigma(F_p) \leq 0$ for any fiber F_p from the list, we have the following:

Corollary 3.3. *The signature of a complex surface with a primitive cyclic covering fibration of type $(4, 0, 3)$ is not positive.*

Example 3.4. We can construct a primitive cyclic covering fibration of type $(4, 0, 3)$ having one singular fiber of any type. Indeed, we construct a fibration f with a multiple fiber F_p of type (VIII) as follows. Let $P := \mathbb{P}^1 \times \mathbb{P}^1$, $B := \mathbb{P}^1$ and $\varphi := pr_2: P \rightarrow B$. Let h and Γ respectively denote general fibers of pr_1 and $\varphi = pr_2$ and set $\mathfrak{d} := 2h + m\Gamma$. We fix $p \in B$ arbitrarily. For a sufficiently large m , we can take $R \in |3\mathfrak{d}|$ such that $\Gamma_p \subset R$, $R \setminus \Gamma_p$ is smooth, and the appearance of R and Γ_p is as follows (see (10) in the classification (iii)).



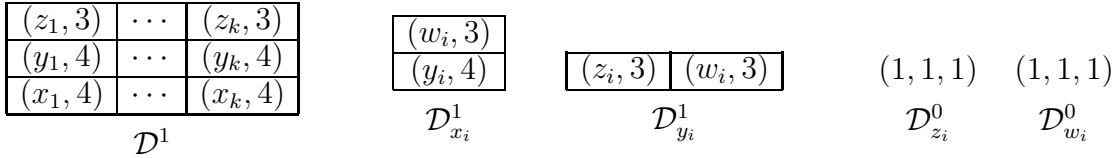
Let $\tilde{\psi} = \psi_w \circ \psi_z \circ \psi_y \circ \psi_x: \tilde{P} \rightarrow P$ be the composite of 4 blow-ups at x, y, z, w as follows.



Then, the divisor $\tilde{R} := \tilde{\psi}^* R - 3(\mathbf{E}_x + \mathbf{E}_y + \mathbf{E}_z + \mathbf{E}_w)$ is smooth and 3-divisible in $\text{Pic}(\tilde{P})$, where \mathbf{E}_\bullet denotes the total transform of the exceptional curve E_\bullet for ψ_\bullet . Then, we can take a 3-cyclic covering $\tilde{\theta}: \tilde{S} \rightarrow \tilde{P}$ branched over \tilde{R} . Let $f: S \rightarrow B$ be the relatively minimal model of $\tilde{f} := \varphi \circ \tilde{\psi} \circ \tilde{\theta}: \tilde{S} \rightarrow B$. Then the fiber F_p of f over p is clearly of type (VIII). We can construct a fibration with another type of singular fiber in a similar way.

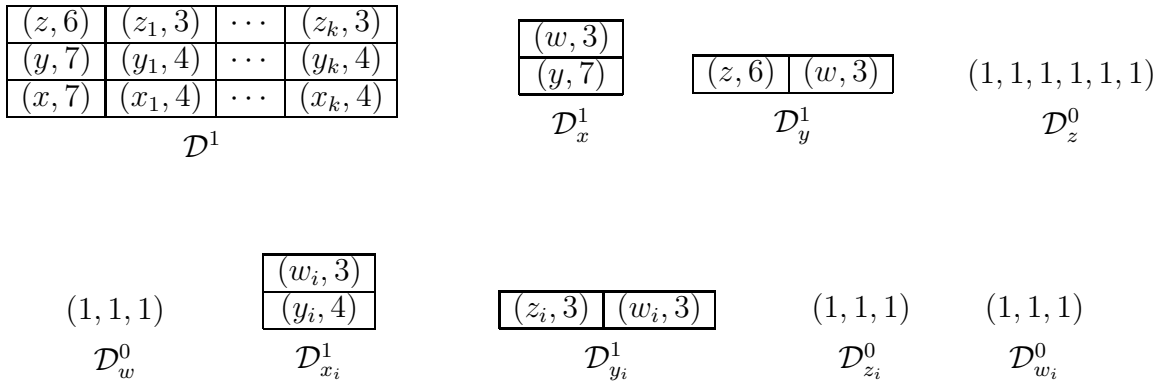
Example 3.5. We can construct primitive cyclic covering fibrations of type $(g, 0, 3)$ with a triple fiber, except for $g = 7$. Note that all the possible genera g are $3k + 1$, $k \in \mathbb{Z}_{>0}$.

Firstly, we consider the following admissible sequence of abstract singularity diagrams for $k > 0$.



One can check that the sequence gives us a triple fiber F_p . By an argument similar to that in Example 3.4, we obtain a primitive cyclic covering fibration f of type $(6k - 2, 0, 3)$ which has such a triple fiber for $k > 0$.

Next, we consider the following admissible sequence of abstract singularity diagrams for $k \geq 0$.



One can check that the sequence gives us a triple fiber F_p . Similarly as in Example 3.4, we obtain a primitive cyclic covering fibration f of type $(6k + 15, 0, 3)$ with such a triple fiber for $k \geq 0$.

4. FIBERS OF HYPERELLIPTIC FIBRATIONS OF GENUS 3

Let $f: S \rightarrow B$ be a hyperelliptic fibration of genus g , that is, a primitive cyclic covering fibration of type $(g, 0, 2)$. We use freely the notation in the previous sections. In order to classify fibers of hyperelliptic fibration of genus g , it is sufficient to classify admissible sequences of abstract singularity diagrams with $n = 2$ and $t^1 = r = 2g + 2$. However, such sequences are too many to classify them all, and from the point of view of invariants, it seems that we do not have to find out all fibers explicitly, because there are singularities of R which do not affect important invariants in the hyperelliptic case. Indeed, singularities of multiplicity 2 or 3 contribute nothing to the Horikawa index when $n = 2$. If a singular point x with multiplicity 2 or 3 has no singular points with multiplicity greater than 4 infinitely near it, we call it a *negligible singularity*. If a singular point x is not a negligible singularity, we call it an *essential singularity*. We decompose $\tilde{\psi}: \tilde{W} \rightarrow W$ into $\bar{\psi}: \tilde{W} \rightarrow \widehat{W}$, the composite of blow-ups at negligible singularities and $\hat{\psi}: \widehat{W} \rightarrow W$, the composite of blow-ups at essential singularities. We call $\hat{\psi}: \widehat{W} \rightarrow W$ the *even resolution of essential singularities*.

In this section, we first introduce abstract essential singularities and admissible sequences of them in order to classify fibers of hyperelliptic fibrations of genus g according to the Horikawa index. Next, we classify all fibers of hyperelliptic fibrations of genus 3 into 12 types and compute the Horikawa index for any types by classifying admissible sequences of abstract essential singularities.

4.1. Abstract essential singularity diagrams.

Definition 4.1. Let \mathcal{D} be an abstract singularity diagram with $n = 2$ and $(x_{i,j}, m_{i,j})$ an entry of \mathcal{D} . Then $(x_{i,j}, m_{i,j})$ is *strictly negligible* if one of the following holds:

- (i) $m_{i,j} = 2$.
- (ii) $m_{i,j} = 3$, $j < i_{\text{bm}}$ and $m_{i,j+1} \neq 4$.
- (iii) $m_{i,j} = 3$, $j = i_{\text{bm}}$ and \mathcal{D} is of type 1.
- (iv) $m_{i,j} = 3$, $j = i_{\text{bm}} > 1$ and $m_{i,j-1} = 3$.

Remark 4.2. If \mathcal{D} is a singularity diagram and $(x_{i,j}, m_{i,j})$ is strictly negligible, then $x_{i,j}$ is a negligible singularity. However, the inverse is not true.

Definition 4.3. Let $t \geq 2$ be an integer. We define an *abstract essential singularity diagram* \mathcal{D} for t to be an abbreviation of an abstract singularity diagram for $(2, t)$ by the following rule:

- (i) We denote a strictly negligible entry $(x_{i,j}, m_{i,j})$ by $(x_{i,j}, \text{II})$ if $m_{i,j} = 2$, or $(x_{i,j}, \text{III})$ if $m_{i,j} = 3$.
- (ii) We leave it blank for a strictly negligible entry.

Definition 4.4. Let $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_N$ be a sequence of abstract essential singularity diagrams. Let $I := \{(\mu, i) | 1 \leq \mu \leq N, 1 \leq i \leq l^\mu \text{ and } i_{\text{bm}} > 0\}$ and $I_k := \{(\mu, i) \in I | m_{i,1}^\mu \geq k\}$. Then $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_N$ is said to be *admissible* if there exist a subset $I_4 \subset I_{\text{ess}} \subset I_3$ and a bijection

$\Phi: I_{\text{ess}} \rightarrow \{2, \dots, N\}$ such that μ and $\nu := \Phi(\mu, i)$ satisfy $\mu < \Phi(\mu, i)$ and Lemma 2.9 (1), (2), (3) and if $m_{i,1}^\mu = 3$, then \mathcal{D}_ν has no strictly negligible entries for any $(\mu, i) \in I_{\text{ess}}$.

Let $\mathcal{D}_1, \mathcal{D}_2, \dots, \mathcal{D}_N$ be an admissible sequence of abstract essential singularity diagrams. Then, $(x_{i,1}^\mu, m_{i,1}^\mu)$ is said to be *negligible* (resp. *essential*) if $(\mu, i) \notin I_{\text{ess}}$ (resp. $(\mu, i) \in I_{\text{ess}}$). Clearly, a strictly negligible entry $(x_{i,1}^\mu, m_{i,1}^\mu)$ is negligible. We also denote a negligible entry $(x_{i,1}^\mu, 3)$ by $(x_{i,1}^\mu, \text{III})$.

Definition 4.5. Two admissible sequences $\mathcal{D}_1, \dots, \mathcal{D}_N$ and $\mathcal{D}'_1, \dots, \mathcal{D}'_N$ of abstract essential singularity diagrams are *equivalent*, if there exists a bijection $\Psi: \{1, \dots, N\} \rightarrow \{1, \dots, N\}$ with $\Psi(1) = 1$ such that the essential part of \mathcal{D}_k is equivalent to that of $\mathcal{D}_{\Psi(k)}$ for any $1 \leq k \leq N$, and $\mathcal{D}_\mu \xrightarrow{i} \mathcal{D}_\nu$ if and only if $\mathcal{D}_{\Psi(\mu)} \xrightarrow{i} \mathcal{D}_{\Psi(\nu)}$ for any $\mu < \nu$ and $1 \leq i \leq l^\mu$ (after a suitable replacement of columns of \mathcal{D}_μ), where the essential part of \mathcal{D}_k is the diagram which consists of essential entries of \mathcal{D}_k only, and the definition of equivalence of essential parts of abstract essential singularity diagrams is the same as that of abstract singularity diagrams.

In order to classify all fibers of hyperelliptic fibrations with genus g according to the Horikawa index, it suffices to classify admissible sequences of abstract essential singularity diagrams with $t^1 = r = 2g + 2$ and $m_{i,1}^1 \leq g + 1 + k$ if \mathcal{D}_1 is of type k for $k = 0, 1$. We proceed with the following steps.

- (i) Classify abstract essential singularity diagrams of type k for $2g+2$ with $m_{i,1} \leq g+1+k$ for $k = 0, 1$.
- (ii) Classify admissible sequences of abstract essential singularity diagrams with $t^1 = 3, 4, \dots, g+2$ and \mathcal{D}_1 is of type k if $t^1 \equiv k \pmod{2}$.
- (iii) Classify admissible sequences of abstract essential singularity diagrams with $t^1 = r = 2g+2$ and $m_{i,1}^1 \leq g+1+k$ if \mathcal{D}_1 is of type k for $k = 0, 1$.

4.2. Classification: genus 3 case.

- (i) All essential parts of abstract essential singularity diagrams of type 0 for 8 with $m_{i,1} \leq 4$ are as follows.

(0,a)	(0,b)	(0,c)
(\bullet), II, III only	3	4
	0	0, II
(0)	(II)	(II)

(0,d)	(0,e)	(0,f)
$\begin{array}{ c } \hline 4 \\ \hline 3 \\ \hline \end{array}$	$\begin{array}{ c } \hline 3 \\ \hline 4 \\ \hline \end{array}$	$\begin{array}{ c } \hline 4 \\ \hline 4 \\ \hline \end{array}$
0, II	0	0
(VI)	(VIII)	(IV)

(0,g)	(0,h)	(0,i)
$\begin{array}{ c c } \hline 3 & 3 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 4 & 3 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 4 & 4 \\ \hline \end{array}$
(0, 0)	(0, 0)	(0, 0)
(II)	(II)	(II)

All essential parts of abstract essential singularity diagrams of type 1 for 8 with $m_{i,1} \leq 5$ are as follows.

(1,a)	(1,b)	(1,c)	(1,d)
II, III only	$\begin{array}{ c } \hline 4 \\ \hline \end{array}$	$\begin{array}{ c } \hline 5 \\ \hline \end{array}$	$\begin{array}{ c } \hline 4 \\ \hline 3 \\ \hline \end{array}$
	0, II, III	II, III	0, II, III
(0)	(I)	(I)	(I)

(1,e)	(1,f)	(1,g)	(1,h)
$\begin{array}{ c } \hline 4 \\ \hline 4 \\ \hline \end{array}$	$\begin{array}{ c } \hline 4 \\ \hline 5 \\ \hline \end{array}$	$\begin{array}{ c } \hline 5 \\ \hline 5 \\ \hline \end{array}$	$\begin{array}{ c } \hline 4 \\ \hline 3 \\ \hline 4 \\ \hline \end{array}$
0, II, III	0, II, III	II, III	0, II
(V)	(IX)	(VII)	(X)

(1,i)	(1,j)	(1,k)	(1,l)
$\begin{array}{ c } \hline 4 \\ \hline 3 \\ \hline 4 \\ \hline 3 \\ \hline \end{array}$	$\begin{array}{ c } \hline 6 \\ \hline 5 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 4 & 4 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 5 & 4 \\ \hline \end{array}$
0	0	(0, 0), (II, 0), (III, 0), (II, II)	(II, 0), (III, 0), (II, II)
(XI)	(III)	(I)	(I)

(1,m)	(1,n)	(1,o)	(1,p)
$\begin{array}{ c c } \hline 5 & 5 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 4 & \\ \hline 3 & 4 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 4 & \\ \hline 3 & 5 \\ \hline \end{array}$	$\begin{array}{ c c } \hline 4 & 4 \\ \hline 3 & 3 \\ \hline \end{array}$
(II, II)	(0, 0), (II, 0), (0, II)	(0, II)	(0, 0)
(I)	(I)	(I)	(I)

where 0, II, III in the second row from the bottom are all possible strictly negligible entries on the top of the column of the diagram and 0 means no strictly negligible entries, and the entry (•) in the bottom row is the type of a fiber corresponding to the diagram which is defined as:

Definition 4.6. Let $f: S \rightarrow B$ be a hyperelliptic fibered surface of genus 3. We say a fiber F_p of f (resp. \tilde{F}_p of \tilde{f} , Γ_p of φ , $\tilde{\Gamma}_p$ of $\tilde{\varphi}$) is a *fiber of type* (0) if the essential part of the singularity diagram of Γ_p is (0,a) or (1,a), a *fiber of type* (I) if that is (1,b), (1,c), (1,d), (1,k), (1,l), (1,m), (1,n), (1,o) or (1,p), a *fiber of type* (II) if that is (0,b), (0,c), (0,g), (0,h) or (0,i), a *fiber of type* (III) if that is (1,j), a *fiber of type* (IV) if that is (0,f), a *fiber of type* (V) if that is (1,e), a *fiber of type* (VI) if that is (0,d), a *fiber of type* (VII) if that is (1,g), a *fiber of type* (VIII) if that is (0,e), a *fiber of type* (IX) if that is (1,f), a *fiber of type* (X) if that is (1,h), a *fiber of type* (XI) if that is (1,i).

(ii) All admissible sequences of abstract essential singularity diagrams with $t^1 = 3$ and \mathcal{D}_1 is of type 1 (i.e. arising from an entry $(x_1, 3)$) are as follows.

$$\left(\begin{array}{|c|} \hline (x_1, 3) \\ \hline \end{array} \right) \quad \begin{array}{|c|} \hline (y_1, 4) \\ \hline \end{array} \quad \mathcal{D}_{x_1}^1 \quad \dots \quad \begin{array}{|c|} \hline (x_k, 3) \\ \hline \end{array} \quad \mathcal{D}_{y_{k-1}}^0 \quad \begin{array}{|c|} \hline (y_k, 4) \\ \hline \end{array} \quad \mathcal{D}_{x_k}^1$$

Then, $(x_1, 3)$ is said to be of type $(3-4)^k$. An entry of type $(3-4)^0$ is nothing more than a negligible entry.

All admissible sequences of abstract essential singularity diagrams with $t^1 = 4$ and \mathcal{D}_1 being of type 0 (i.e. arising from an entry $(x_1, 4)$) are as follows.

$$\left(\boxed{(x_1, 4)} \right) \quad \boxed{(x_2, 4)} \quad \dots \quad \boxed{(x_k, 4)} \quad (x_{k+1}, 3) \text{ is of type } (3-4)^l$$

$$\mathcal{D}_{x_1}^0 \quad \mathcal{D}_{x_{k-1}}^0 \quad \mathcal{D}_{x_k}^1$$

Then, $(x_1, 4)$ is said to be of type $4^k-(3-4)^l$.

All admissible sequences of abstract essential singularity diagrams with $t^1 = 5$ and \mathcal{D}_1 is of type 1 (i.e. arising from an entry $(x_1, 5)$) such that no entries with multiplicity 6 appear are the following 3 cases.

$$\left(\boxed{(x_1, 5)} \right) \quad \boxed{(x_2, 5)} \quad \dots \quad \boxed{(x_k, 5)}$$

$$\mathcal{D}_{x_1}^1 \quad \mathcal{D}_{x_{k-1}}^1$$

$$\left(\boxed{(x_1, 5)} \right) \quad \boxed{(x_2, 5)} \quad \dots \quad \boxed{(x_k, 5)} \quad \boxed{(x_{k+1}, 4)} \quad (x_{k+2}, 3) \text{ is of type } (3-4)^l$$

$$\mathcal{D}_{x_1}^1 \quad \mathcal{D}_{x_{k-1}}^1 \quad \mathcal{D}_{x_k}^1 \quad \mathcal{D}_{x_{k+1}}^0$$

$$\left(\boxed{(x_1, 5)} \right) \quad \boxed{(x_2, 5)} \quad \dots \quad \boxed{(x_k, 5)} \quad \boxed{\begin{array}{c} (x_{k+2}, 4) \\ (x_{k+1}, 3) \end{array}}$$

$$\mathcal{D}_{x_1}^1 \quad \mathcal{D}_{x_{k-1}}^1 \quad \mathcal{D}_{x_k}^1$$

Then, $(x_1, 5)$ is said to be of types 5^k , $5^k-4-(3-4)^l$ and 5^k-34 , respectively

We remark no singular points with multiplicity 6 appear over Γ_p unless it is of type (III). All admissible sequences of abstract essential singularity diagrams with \mathcal{D}_1 the diagram on a fiber Γ_p of type (III) are as follows.

$$\boxed{\begin{array}{c} (x_2, 6) \\ (x_1, 5) \end{array}} \quad \boxed{(x_2, 6)} \quad (x_3, 4) \text{ or } (x_3, 3) \text{ is of type } 4^k-(3-4)^l$$

$$\mathcal{D}_{x_1}^1 \quad \mathcal{D}_{x_1}^1 \quad \mathcal{D}_{x_2}^0$$

(iii) Let Γ_p be a fiber of type (I). First, if there exist singularities with multiplicity 5, we blow up these singularities until there exist no singularities with multiplicity 5 on the total transform of Γ_p . Let i be the number of singularities of multiplicity 5 (i.e. the number of these blow-ups). Then the dual graph of the total transform of Γ_p is the Dynkin graph of type A_{i+1} , and all possible essential singularities on both ends of the chain are of types $4-(3-4)^j$ or 34. We say Γ_p is of type $(I_{i,j,k})$ more precisely if there exists a singularity of type $4-(3-4)^{j-1}$ on one end and there exists a singularity of type $4-(3-4)^{k-1}$ on another end, where j or $k = 0$ means there exists no essential singularity on the end. When there exists a singularity of type 34 on one end, we denote j or $k = \infty$.

Let Γ_p be a fiber of type (II). Similarly, if there exist singularities with multiplicity 4, we blow up these singularities until there exist no singularities with multiplicity 4 on the total transform of Γ_p . Let i be the number of these blow-ups. Then the dual graph of the total transform of Γ_p is also the Dynkin graph of type A_{i+1} , and all possible essential singularities on both ends of the chain are of type $(3-4)^j$. We say Γ_p is of type $(II_{i,j,k})$ if there exists a singularity of type $(3-4)^j$ on one end and there exists a singularity of type $(3-4)^k$ on another end.

Let Γ_p be a fiber of type (III) (resp. a fiber of type (IV)). We say Γ_p is of type $(III_{i,j})$ (resp. $(IV_{i,j})$) if there exists a singularity of type $4^i-(3-4)^j$ on the exceptional curve for the blow-up at the singularity with multiplicity 6 infinitely near to the singularity with multiplicity 5 on Γ_p (resp. the singularity with multiplicity 4 infinitely near to the singularity with multiplicity 4 on Γ_p).

Let Γ_p be a fiber of type (V) (resp. (VI)). We say Γ_p is of type (V_j) (resp. (VI_j)) if there exists a singularity of type $(3-4)^j$ on the exceptional curve for the blow-up at the singularity with multiplicity 4 infinitely near to the singularity with multiplicity 4 on Γ_p (resp. the singularity with multiplicity 4 infinitely near to the singularity with multiplicity 3 on Γ_p).

Let Γ_p be a fiber of type (VII) (resp. (VIII)). We say Γ_p is of type (VII_j) (resp. $(VIII_j)$) if there exists a singularity of type $4-(3-4)^{j-1}$ on the exceptional curve for the blow-up at the singularity with multiplicity 5 infinitely near to the singularity with multiplicity 5 on Γ_p (resp. the singularity of multiplicity 3 infinitely near to the singularity with multiplicity 4 on Γ_p), where $j = 0$ means there exists no essential singularity on the exceptional curve.

Now, the following lemma is straightforward.

Lemma 4.7. *Let $\widehat{\psi}: \widehat{W} \rightarrow W$ be the resolution of essential singularities and \widehat{R} the branch locus on \widehat{W} . The total transform on \widehat{W} of each fiber Γ of types (I), ..., (XI) has the following configuration.*

$$(I_{i,j,k}) \quad \begin{array}{cccccccccccccccccccc} & j & & j-1 & & & 1 & 1 & 2 & & & i & i+1 & 1 & & & k-1 & k \\ x & \bullet & \text{---} & \circ & \text{---} & \cdots & \bullet & \text{---} & \omega & \text{---} & \bullet & \text{---} & \cdots & \bullet & \text{---} & \omega & \text{---} & \circ & \text{---} & \cdots & \circ & \text{---} & \bullet & \text{---} & x \end{array}$$

$$(when \ j = \infty) \quad \bullet \text{---} x \text{---} \bullet' \text{---} \omega \text{---} \bullet \text{---} \cdots$$

$$(\text{II}_{i,j,k}) \quad \begin{array}{c} j \quad j-1 \quad 1 \quad 1 \quad 2 \quad i \quad i+1 \quad 1 \quad k-1 \quad k \\ x \bullet \circ \cdots \bullet \circ \text{---} \circ \text{---} \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} x \end{array}$$

$$(\text{III}_{i,j}) \quad \begin{array}{c} 1 \quad 2 \quad i \quad i+1 \quad 1 \quad j-1 \quad j \\ \bullet \swarrow \bullet \circ \text{---} \circ \text{---} \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} x \\ \bullet \searrow \end{array}$$

$$(\text{IV}_{i,j}) \quad \begin{array}{c} 1 \quad 2 \quad i \quad i+1 \quad 1 \quad j-1 \quad j \\ \circ \swarrow \circ \circ \text{---} \circ \text{---} \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} x \\ \circ \searrow \end{array}$$

$$(\text{V}_j) \quad \begin{array}{c} 1 \quad j-1 \quad j \\ \circ \swarrow \bullet \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} x \\ \omega \searrow \end{array}$$

$$(\text{VI}_j) \quad \begin{array}{c} 1 \quad j-1 \quad j \\ \bullet \swarrow \bullet \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} x \\ z \searrow \end{array}$$

$$(\text{VII}_j) \quad \begin{array}{c} 1 \quad j-1 \quad j \\ \omega \swarrow \bullet \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} x \\ \omega \searrow \end{array}$$

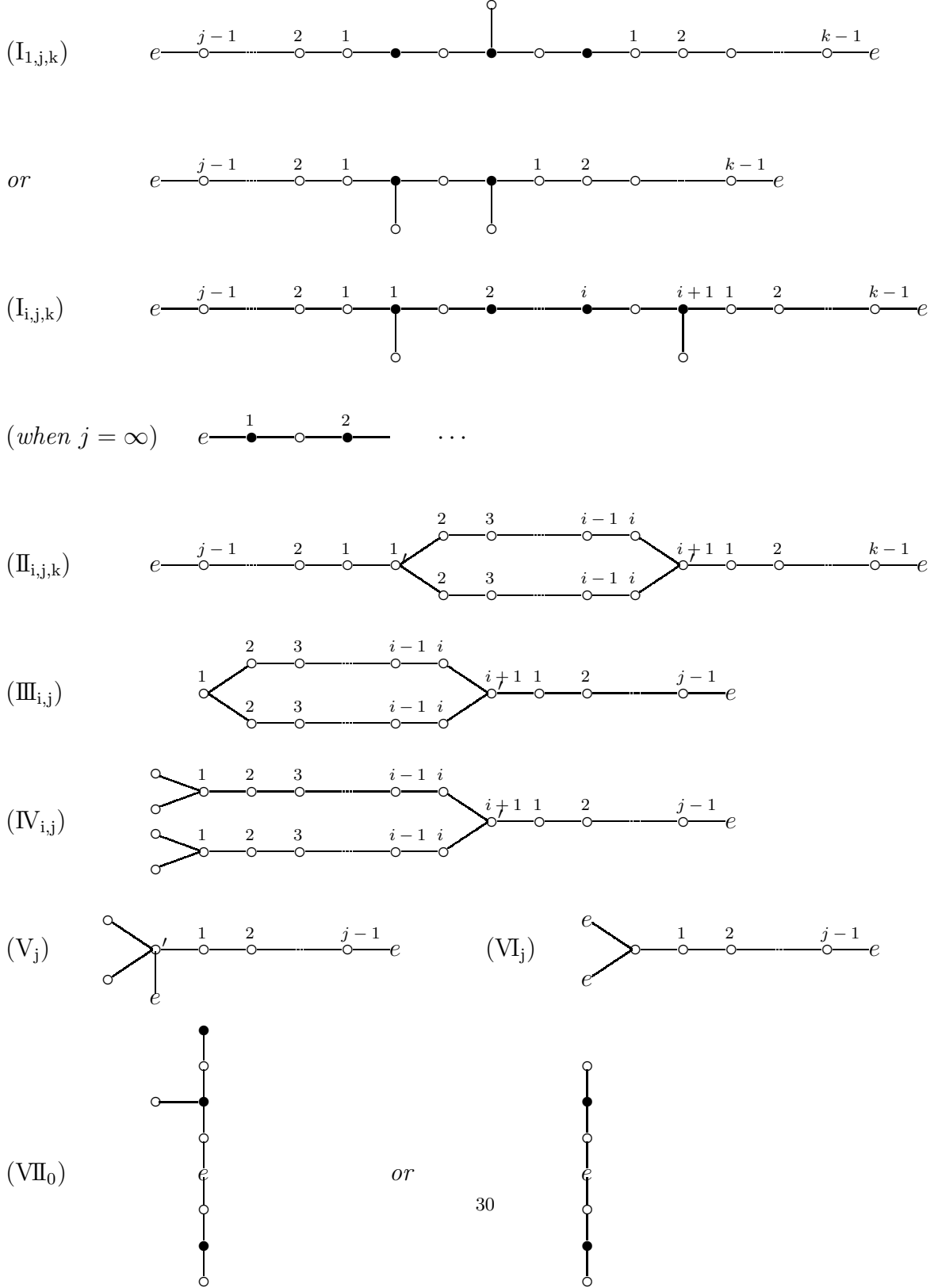
$$(\text{VIII}_j) \quad \begin{array}{c} 1 \quad j-1 \quad j \\ z \swarrow \bullet \circ \text{---} \bullet \text{---} \circ \text{---} \bullet \text{---} x \\ z \searrow \end{array}$$

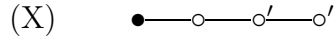
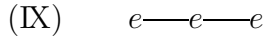
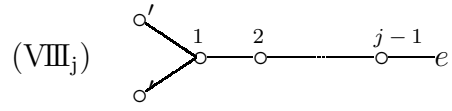
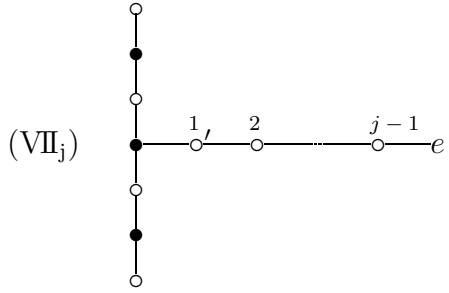
$$(\text{IX}) \quad \omega \text{---} x \text{---} \omega \quad (\text{X}) \quad \omega' \text{---} x \text{---} \bullet \text{---} z \quad (\text{XI}) \quad \bullet'' \text{---} x \text{---} \bullet \text{---} \circ \text{---} \bullet$$

where the symbol x is a (-1) -curve, the symbol \bullet is a (-2) -curve which is contained in \widehat{R} and is disjoint from other components of \widehat{R} , the symbol \circ is a (-2) -curve disjoint from \widehat{R} , the symbol ω is a (-2) -curve which is contained in \widehat{R} and intersects with other components of \widehat{R} , the symbol z is a (-2) -curve which is not contained in \widehat{R} and intersects with \widehat{R} , the symbol \bullet' is a (-3) -curve which is contained in \widehat{R} and is disjoint from other components of \widehat{R} , the symbol ω' is a (-3) -curve which is contained in \widehat{R} and intersects with other components of \widehat{R} and the symbol \bullet'' is a (-4) -curve contained in \widehat{R} which is contained in \widehat{R} and is disjoint from other components of \widehat{R} .

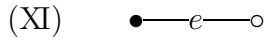
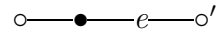
Taking double covering, we get the following list:

Theorem 4.8. *Each fiber F of f of types (I), ..., (XI) has the following configuration.*





or



where the symbol \bullet is a (-2) -curve contained in $\text{Fix}(\tilde{\sigma})$, the symbol \circ is a (-2) -curve not contained in $\text{Fix}(\tilde{\sigma})$, the symbol \circ' is a (-3) -curve not contained in $\text{Fix}(\tilde{\sigma})$, and the symbol e is an effective divisor.

Let $f: S \rightarrow B$ be a hyperelliptic fibered surface of genus 3. Recall the slope equality in Theorem 1.5 for $g = 3$ and $n = 2$:

$$K_f = \frac{8}{3}\chi_f + \text{Ind},$$

where $\text{Ind} = \sum_{p \in B} \text{Ind}(F_p)$ is defined by $\text{Ind}(F_p) = \alpha_2(F_p) + \varepsilon(F_p)$, which is called the Horikawa index of F_p . Computing the Horikawa index for a fiber F_p of each type, we get:

Theorem 4.9. *The Horikawa index of a hyperelliptic fibration of genus 3 is given by*

$$\begin{aligned}
\text{Ind} &= \sum_i \frac{2}{3} i \nu(\text{I}_{i,0,0}) + \sum_{i,k \geq 1} \left(\frac{2}{3} i + \frac{5}{3} k - 1 \right) \nu(\text{I}_{i,0,k}) + \sum_i \left(\frac{2}{3} i + \frac{5}{3} \right) \nu(\text{I}_{i,0,\infty}) \\
&+ \sum_{i,j \geq 1, k \geq 1} \left(\frac{2}{3} i + \frac{5}{3} (j+k) - 2 \right) \nu(\text{I}_{i,j,k}) + \sum_{i,j \geq 1} \left(\frac{2}{3} i + \frac{5}{3} j + \frac{2}{3} \right) \nu(\text{I}_{i,j,\infty}) \\
&+ \sum_i \left(\frac{2}{3} i + \frac{10}{3} \right) \nu(\text{I}_{i,\infty,\infty}) + \sum_{i,j,k} \left(\frac{2}{3} i + \frac{5}{3} (j+k) \right) \nu(\text{II}_{i,j,k}) \\
&+ \sum_{i,j} \left(\frac{2}{3} i + \frac{5}{3} j + \frac{8}{3} \right) \nu(\text{III}_{i,j}) + \sum_{i,j} \left(\frac{2}{3} i + \frac{5}{3} j + \frac{4}{3} \right) \nu(\text{IV}_{i,j}) \\
&+ \sum_j \left(\frac{5}{3} j + \frac{4}{3} \right) \nu(\text{V}_j) + \sum_j \left(\frac{5}{3} j + \frac{5}{3} \right) \nu(\text{VI}_j) \\
&+ \frac{4}{3} \nu(\text{VII}_0) + \sum_{j \geq 1} \left(\frac{5}{3} j + \frac{1}{3} \right) \nu(\text{VII}_j) + \sum_{j \geq 1} \left(\frac{5}{3} j + \frac{2}{3} \right) \nu(\text{VIII}_j) \\
&+ \frac{4}{3} \nu(\text{IX}) + \frac{7}{3} \nu(\text{X}) + \frac{10}{3} \nu(\text{XI})
\end{aligned}$$

where $\nu(*)$ denotes the number of fibers of type $(*)$.

5. MULTIPLE FIBERS

For any fiber F of a fibered surface, the intersection form on the support of F is negative semi-definite (with 1-dimensional kernel) by Zariski's lemma. Hence, we have the smallest non-zero effective divisor D with support in $\text{Supp}(F)$ such that $DC = 0$ holds for any irreducible component C of F . We call D the numerical cycle. There exists a positive integer k such that $F = kD$. When $k > 1$, we call F a multiple fiber of multiplicity k .

The following lemma is easy to prove.

Lemma 5.1. *Let $n \geq 4$ be a positive integer and a, b integers such that $\gcd(a, b, n) = 1$. Then, it follows that $a + 2b \notin n\mathbb{Z}$ or $2a + b \notin n\mathbb{Z}$.*

From Lemma 5.1, we obtain the following assertion on multiple fibers of f .

Proposition 5.2. *Let $f: S \rightarrow B$ be a primitive cyclic covering fibration of type $(g, 0, n)$. If $n \leq 3$, then any multiple fiber of f is an n -fold fiber. If $n \geq 4$, then f has no multiple fibers.*

Proof. It is sufficient to show the claim with respect to \tilde{f} . Suppose that $\tilde{F} = \tilde{F}_p$ is a multiple fiber of \tilde{f} . We write $\tilde{F} = kD$ where $k \geq 2$ and D is the numerical cycle. Let $\tilde{\Gamma} = \tilde{\Gamma}_p$ be the fiber of $\tilde{\varphi}$ at $p = \tilde{f}(\tilde{F})$. We write $\tilde{\Gamma} = L_1 + \cdots + L_s$ and $L_i = k_i \Gamma_i$ where $\Gamma_i \simeq \mathbb{P}^1$ and $\Gamma_i \neq \Gamma_j$ if $i \neq j$. At least two k_i 's are 1 since $\tilde{\Gamma}$ is the total transform of a fiber $\Gamma \simeq \mathbb{P}^1$ of φ . We may assume $k_1 = k_2 = 1$. The numerical cycle D is decomposed to $D_1 + \cdots + D_s$ such that

$\tilde{\theta}(D_i) = \Gamma_i$. Since $\tilde{\theta}^*\tilde{\Gamma} = \tilde{F}$, it follows $\tilde{\theta}^*L_i = kD_i$. In particular, we have $\tilde{\theta}^*\Gamma_1 = kD_1$. Thus, Γ_1 is contained in \tilde{R} since $k \geq 2$. Hence it follows $k = n$. Suppose that Γ_i is not contained in \tilde{R} . Since $\tilde{\theta}^*\Gamma_i$ is reduced and $nD_i = k_i\tilde{\theta}^*\Gamma_i$, then it follows $k_i \in n\mathbb{Z}$. Hence, $\tilde{\Gamma}$ satisfies the following (#):

(#) We take an irreducible component Γ_j such that $k_j \notin n\mathbb{Z}$ arbitrarily. If another component Γ_i intersects Γ_j , then it follows that $k_i \in n\mathbb{Z}$,

since \tilde{R} consists of smooth disjoint curves. However, we can show that there exist no reducible fibers of $\tilde{\varphi}$ which satisfy (#) if $n \geq 4$. This can be shown as follows. Let Γ be the fiber of φ at p . If $\tilde{\Gamma}$ is reducible, Γ is blown up by $\tilde{\psi}$ at least once. we may assume that $\psi_1, \dots, \psi_{s-1}$ are blow-ups at a point on the fiber at p . Put $\tilde{\Gamma}_i = (\psi_i \circ \dots \circ \psi_1)^*\Gamma = L_1 + \dots + L_{i+1}$ where we identify L_k with the proper transform of L_k . Then, we have $\tilde{\Gamma}_1 = L_1 + L_2 = \Gamma_1 + \Gamma_2$. Since $\tilde{\Gamma}$ satisfies (#), the intersection point of Γ_1 and Γ_2 is blown up. Thus, we may assume ψ_2 is the blow-up at the point. Then, we have $\tilde{\Gamma}_2 = L_1 + L_2 + L_3 = \Gamma_1 + \Gamma_2 + 2\Gamma_3$. This operation repeats unless the total transform of Γ satisfies (#). Blowing up at the intersection point of $L_i = a\Gamma_i$ and $L_j = b\Gamma_j$, the multiplicity of the new exceptional curve is $a + b$. From this observation and Lemma 5.1, the operation would repeat endlessly, which leads us to a contradiction. Hence there exist no reducible fibers of $\tilde{\varphi}$ satisfying (#). If $\tilde{\Gamma} \simeq \mathbb{P}^1$, then $\tilde{F} = nD$ and $D \simeq \mathbb{P}^1$. It contradicts $g \geq 2$ of \tilde{f} . \square

Remark 5.3. In the case where $n = 2$, i.e., f is a hyperelliptic fibration of genus g , it is known that there exists a fibration f with a double fiber for any odd g . In the case where $n = 3$, we have shown in §3 that there exists a fibration f with a triple fiber.

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